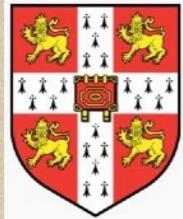


# Numerical Relativity's arduous path to glory

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Numerical Relativity and Fundamental Fields  
IFPU Trieste, Italy, 7 Apr 2025



# Overview

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- Introduction
- The Ancient World: The Birth of Numerical Relativity
- From the Dark ages to the Renaissance
- Towards the Holy Grail
- The gold rush years

# 1. Introduction, Motivation

# Task: Solve this!



It's simple but it isn't easy...

# How do we get the metric?

- The metric must obey  $R_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}R + \Lambda g_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}$
- Ricci tensor, Einstein tensor, matter tensor

$$R_{\alpha\beta} = R^\mu{}_{\alpha\mu\beta}$$

$$G_{\alpha\beta} = R_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}R^\mu{}_\mu$$

“Trace reverse Ricci”

$$T_{\alpha\beta}$$

“Matter”

$$\Lambda$$

“Cosmological constant”

- Solutions: Easy!
  - Calculate
  - Use that for
  - Physically meaningful solutions: That's the hard part!
- Take metric  $g_{\alpha\beta}$   
 $\Rightarrow G_{\alpha\beta}$   
 $\Rightarrow T_{\alpha\beta}$

# Solving Einstein's Eqs.: The toolbox

- **Analytic solutions**

- Symmetry assumptions

Schwarzschild, Kerr, FLRW, Vaidya, Tangherlini, Myers-Perry, ...

- **Perturbation theory**

- Assume solution is close to a known "background"  $g_{\alpha\beta}^{(0)}$
  - Expand  $g_{\alpha\beta} = g_{\alpha\beta}^{(0)} + \epsilon h_{\alpha\beta}^{(1)} + \epsilon^2 h_{\alpha\beta}^{(2)} + \dots \Rightarrow$  linear system

Regge-Wheeler-Zerilli-Moncrief, Teukolsky, QNMs, EOB, ...

- **Post-Newtonian theory**

- Assume small velocities  $\Rightarrow$  Expansion in  $\frac{v}{c}$
  - $N^{\text{th}}$  order expressions for GWs, momenta, orbits, ...

Blanchet, Buonanno, Damour, Kidder, Schäfer, Will, ...

- **Post-Minkowskian Theory** (Weak gravity but arbitrary  $v$ )

- **Numerical Relativity**

# The Newtonian 2-body problem

- Eqs. of motion

$$m_1 \frac{d^2 \vec{r}_1}{dt^2} = \vec{F} = -G \frac{m_1 m_2}{r^2} \hat{\vec{r}} = -m_2 \frac{d^2 \vec{r}_2}{dt^2}$$

- Solution: Kepler ellipses, parabolic, hyperolic

$$r = \frac{r_0}{1 + \epsilon \cos \theta}$$

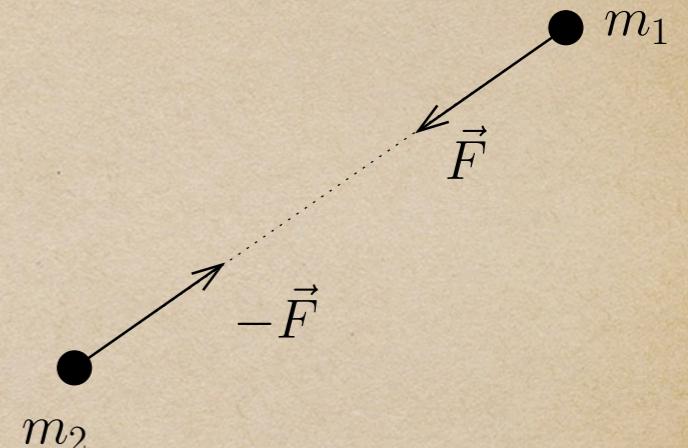
- What is the equivalent in GR?

- No point particles in GR → Black holes!

- Systems typically are dissipative → Gravitational waves

- The Holy Grail of numerical relativity: Inspiral of BH binary

- History: e.g. US CQG 1411.3997



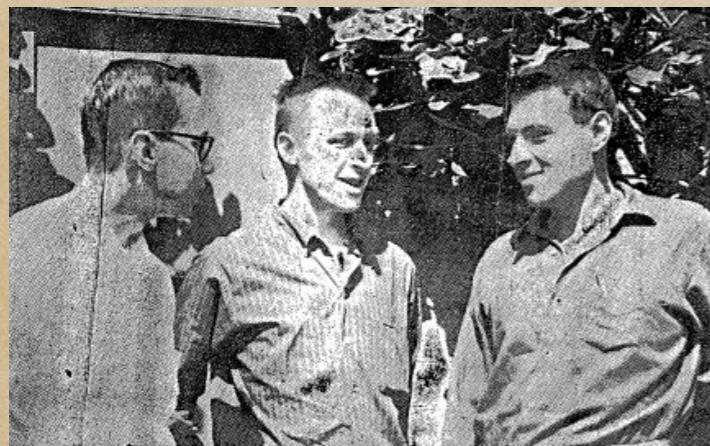
# Challenges in GR

- Covariance of the Einstein equations
  - Space and time on equal footing: How to evolve? Time?
  - Well posedness? Suitability for numerical methods?
- Meaning of the solutions; cf. Schwarzschild solution or GWs
  - Gauge invariant diagnostics
  - Definition of observables
- No a-priori spacetime “stage”. Coordinates are evolved.
- Singularities
- Computational costs: 3D effect
- Numerical stability

The ancient world:  
The Birth of Numerical Relativity

# Foundations and the first steps

- The Cauchy problem of the Einstein equations locally has a unique solution Choquet-Bruhat Acta Math. 1952
- Characteristic formulation Bondi, Sachs Proc.Roy.Soc. 1962
- Canonical 3+1 or ADM formulation of the Einstein equations Arnowitt, Deser, Misner (1962) gr-qc/0405109
- First numerical relativity simulations:  $\lesssim 100$  time steps Hahn & Lindquist Ann.Phys. 1964
- 1D Gravitational collapse May & White PR 1966



AMD



Y Choquet-Bruhat



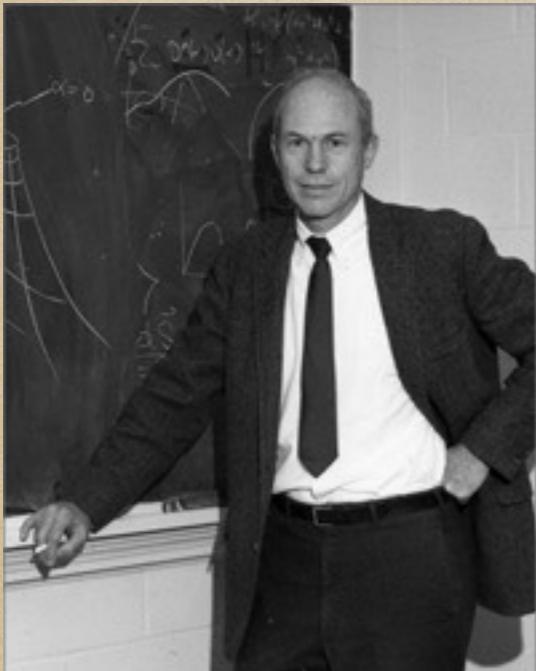
H Bondi



R K Sachs

# The 1970s

- Reinvestigation initiated by B DeWitt
  - PhD theses by A Cavez (1971), L Smarr (1975), K R Eppley (1975)
- 300 x Flops relative to Hahn & Lindquist
- ADM equations, 2D code, Misner (1960) initial data
  - single BHs, head-on collisions



B DeWitt



L Smarr

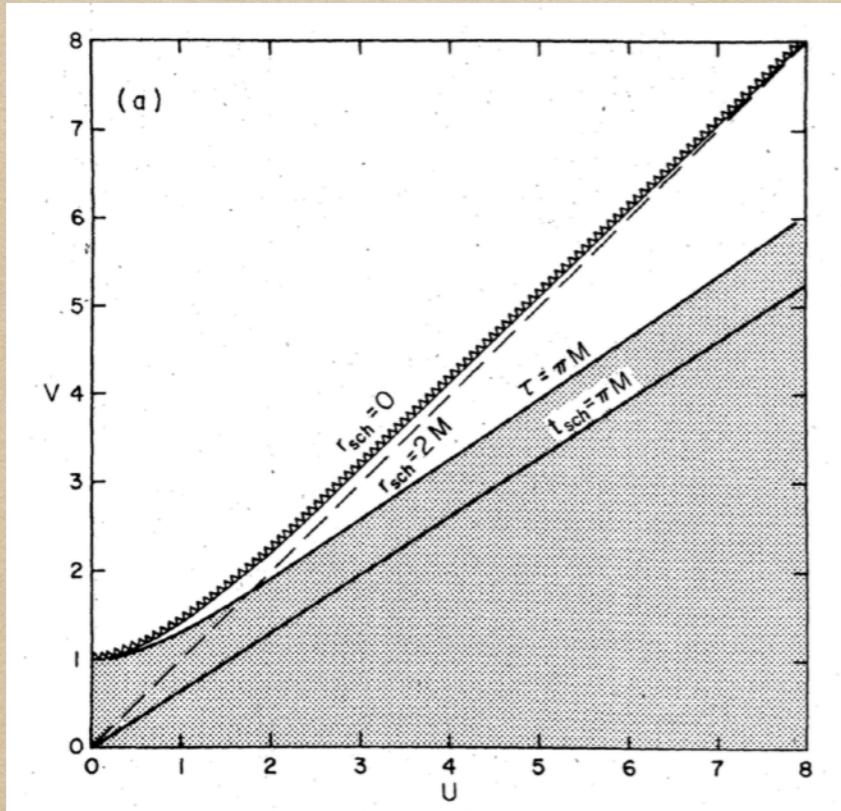


J W York Jr.

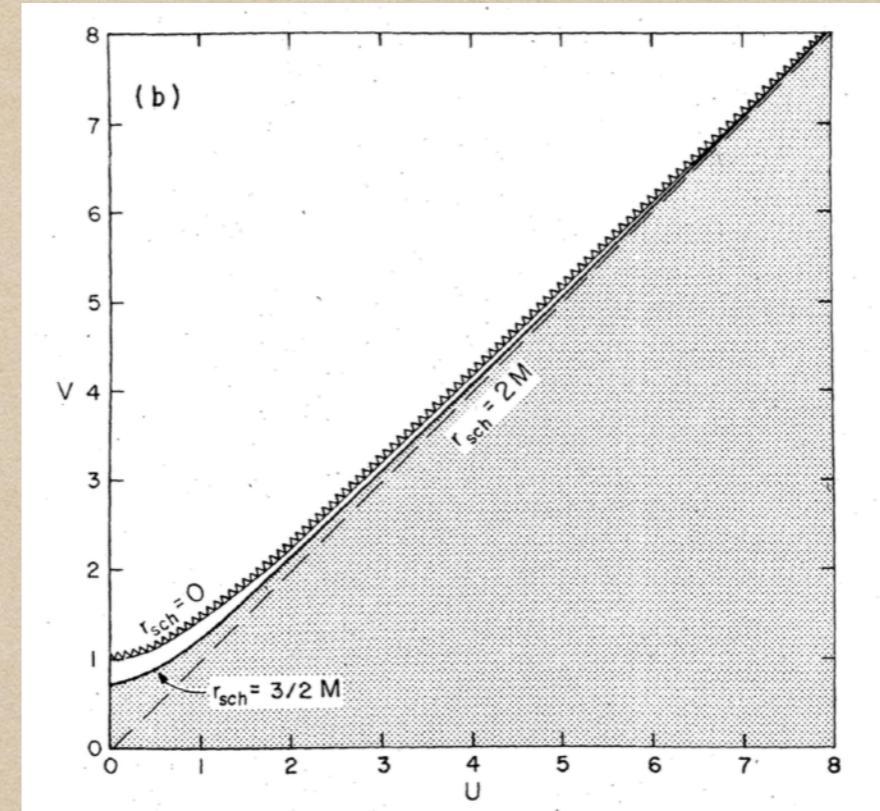
# The 1970s

- Singularity avoiding slicing Smarr & York PRD 1978

Schwarzschild-Kruskal evolved with



geodesic slicing



maximal slicing

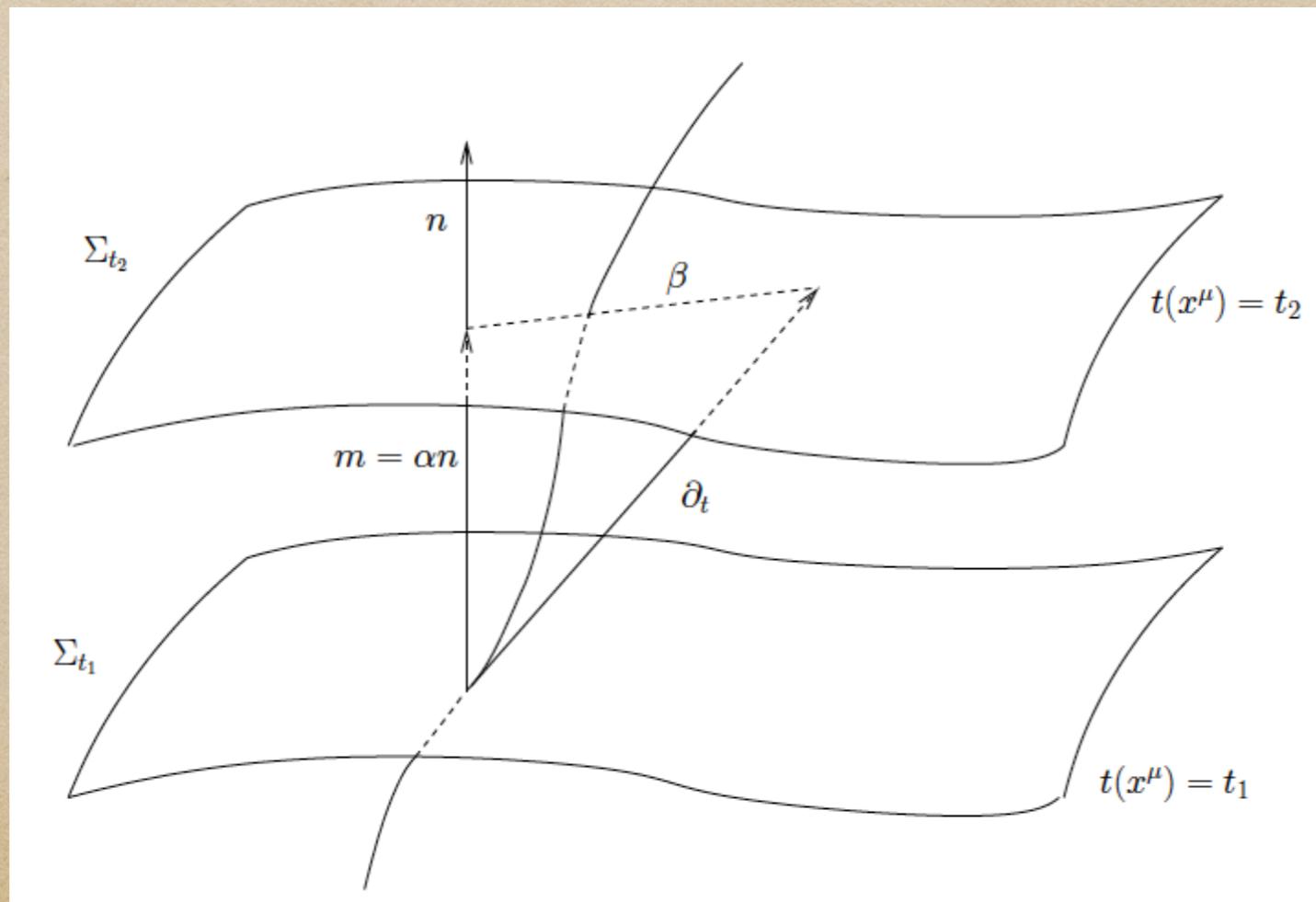
- York formulation of the 3+1 equations

York 1979 in "Sources of Gravitational Radiation" Ed. L Smarr

# The 3+1 decomposition

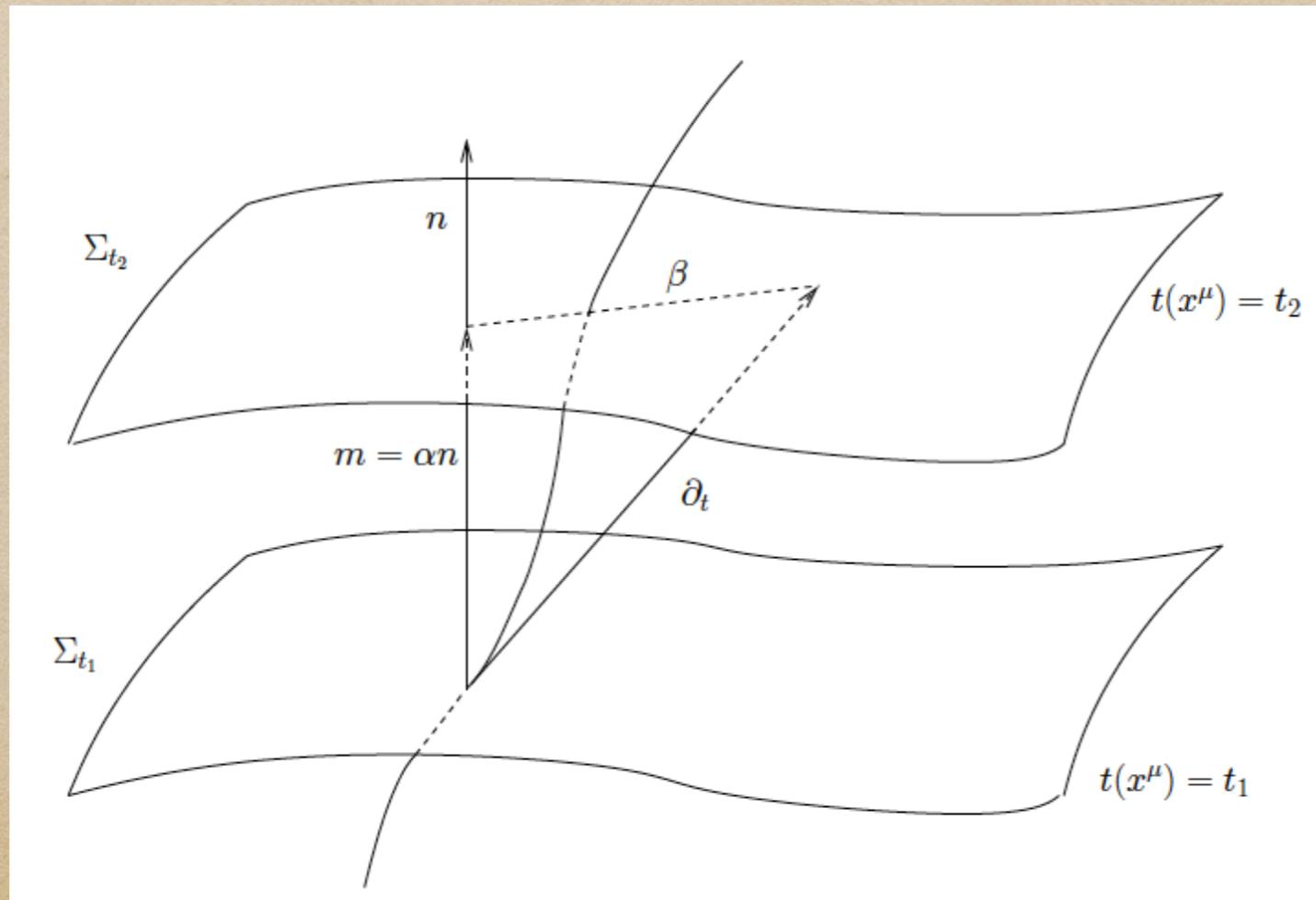
- Let  $(\mathcal{M}, g)$  be a Spacetime  
= Manifold with metric of signature  $- + + +$
- Assume  $\exists$  smooth  $t : \mathcal{M} \mapsto \mathbb{R}$   
with timelike gradient  $dt \neq 0$  and level surfaces

$$\forall_{t_1 \in \mathbb{R}} \quad \Sigma_{t_1} = \{p \in \mathcal{M} : t(p) = t_1\}, \quad \Sigma_{t_1} \cap \Sigma_{t_2} = \emptyset \Leftrightarrow t_1 \neq t_2$$



# The 3+1 decomposition

- 1-Form:  $\mathbf{d}t$ ; vector:  $\frac{\partial}{\partial t} =: \partial_t \Rightarrow \langle \mathbf{d}t, \partial_t \rangle = 1$
- Timelike normal  $n_\mu := -\alpha(\mathbf{d}t)_\mu$
- Spatial projector  $\perp^\alpha{}_\mu = \delta^\alpha{}_\mu + n^\alpha n_\mu$
- Adapted coordinates  $(t, x^i)$ ,  $x^i$  label points inside  $\Sigma_t$



# (D-1)+1 decomposition of the metric

- In adapted coordinates, we write the spacetime metric

$$g_{\alpha\beta} = \left( \begin{array}{c|c} -\alpha^2 + \beta_m \beta^m & \beta_j \\ \hline \beta_i & \gamma_{ij} \end{array} \right)$$

$$\Leftrightarrow g^{\alpha\beta} = \left( \begin{array}{c|c} -\alpha^{-2} & \alpha^{-2}\beta^j \\ \hline \alpha^{-2}\beta^i & \gamma^{ij} - \alpha^{-2}\beta^i\beta^j \end{array} \right)$$

$$\Leftrightarrow ds^2 = -\alpha^2 dt^2 + \gamma_{ij}(dx^i + \beta^i dt)(dx^j + \beta^j dt)$$

- Gauge variables: Lapse  $\alpha$ , shift  $\beta^i$
- For tensors tangent in all components to  $\Sigma_t$  we lower indices with  $\gamma_{ij}$ :  $S^i{}_{jk} = \gamma_{jm} S^{im}{}_k$ , etc.
- Details e.g. in Gourgoulhon gr-qc/0703035

# Decomposition of the Einstein eqs.

$$R_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}R + \Lambda g_{\alpha\beta} = \frac{8\pi G}{c^4}T_{\alpha\beta}$$

$$\Leftrightarrow R_{\alpha\beta} = 8\pi \left( T_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}T \right) + \Lambda g_{\alpha\beta}$$

- Energy momentum tensor

$$\rho := T_{\mu\nu}n^\mu n^\nu,$$

$$j_\alpha := -\perp^\mu{}_\alpha T_{\mu\nu}n^\nu,$$

$$S_{\alpha\beta} := \perp T_{\alpha\beta}, \quad S = \gamma^{\mu\nu} S_{\mu\nu},$$

$$T_{\alpha\beta} = S_{\alpha\beta} + n_\alpha j_\beta + n_\beta j_\alpha + \rho n_\alpha n_\beta, \quad T = S - \rho.$$

- Lie derivative

$$\mathcal{L}_m K_{ij} = \partial_t K_{ij} - \beta^m \partial_m K_{ij} - K_{mj} \partial_i \beta^m - K_{im} \partial_j \beta^m$$

$$\mathcal{L}_m \gamma_{ij} = \partial_t \gamma_{ij} - \beta^m \partial_m \gamma_{ij} - \gamma_{mj} \partial_i \beta^m - \gamma_{im} \partial_j \beta^m$$

# The ADM version of the Einstein eqs.

- Introduction of the extrinsic curvature:

$$\mathcal{L}_m \gamma_{ij} = -2\alpha K_{ij}$$

- $\perp^\mu_\alpha \perp^\nu_\beta$  projection

$$\mathcal{L}_m K_{ij} = -D_i D_j \alpha + \alpha(\mathcal{R}_{ij} + K K_{ij} - 2K_{im} K^m{}_j) + 8\pi\alpha \left( \frac{S-\rho}{D-2} \gamma_{ij} - S_{ij} \right) - \frac{2}{D-2} \Lambda \gamma_{ij}$$

“Evolution equations”

- $n^\mu n^\nu$  projection

$$\mathcal{R} + K^2 - K^{mn} K_{mn} = 2\Lambda + 16\pi\rho$$

“Hamiltonian constraint”

- $\perp^\mu_\alpha n^\nu$  projection

$$D_i K - D_m K^m{}_i = -8\pi j_i$$

“Momentum constraints”

- Backbone of numerical relativity for  $\sim 20$  years

## **2. From the dark ages to the Renaissance**

# The 1980s



The Dark Ages in Numerical Relativity...



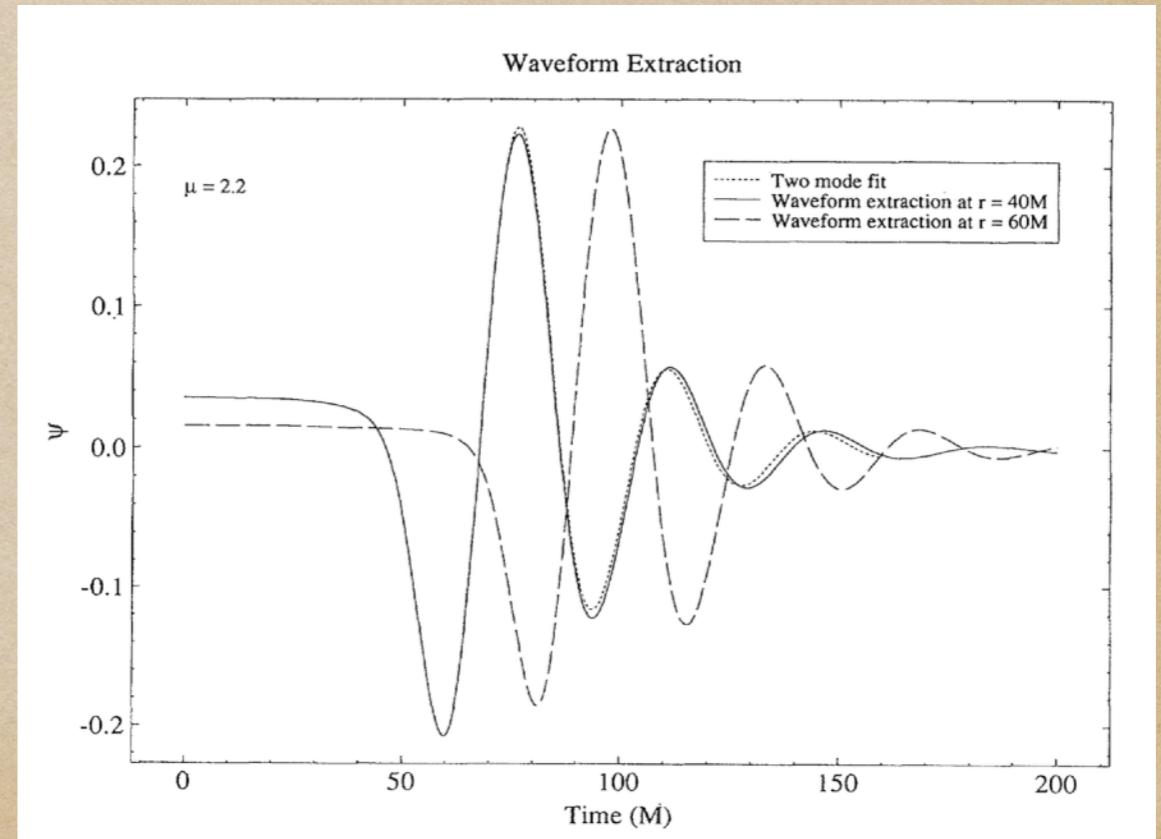
# The 1990s

## “Binary Black Hole Grand Challenge Project”

- 40 researchers in 10 institutions  
Austin, Cornell, Illinois, North Carolina, Northwestern, Penn State, Pittsburgh, Syracuse
- Goal: Simulate BH binary inspiral, compute GW signals
- ADM formalism, axisymmetry, head-on collisions
  - $l = 2, l = 4$  waveforms
  - Horizon calculations
  - Unequal masses

Anninos et al

PRL 1993, PRD 1995+



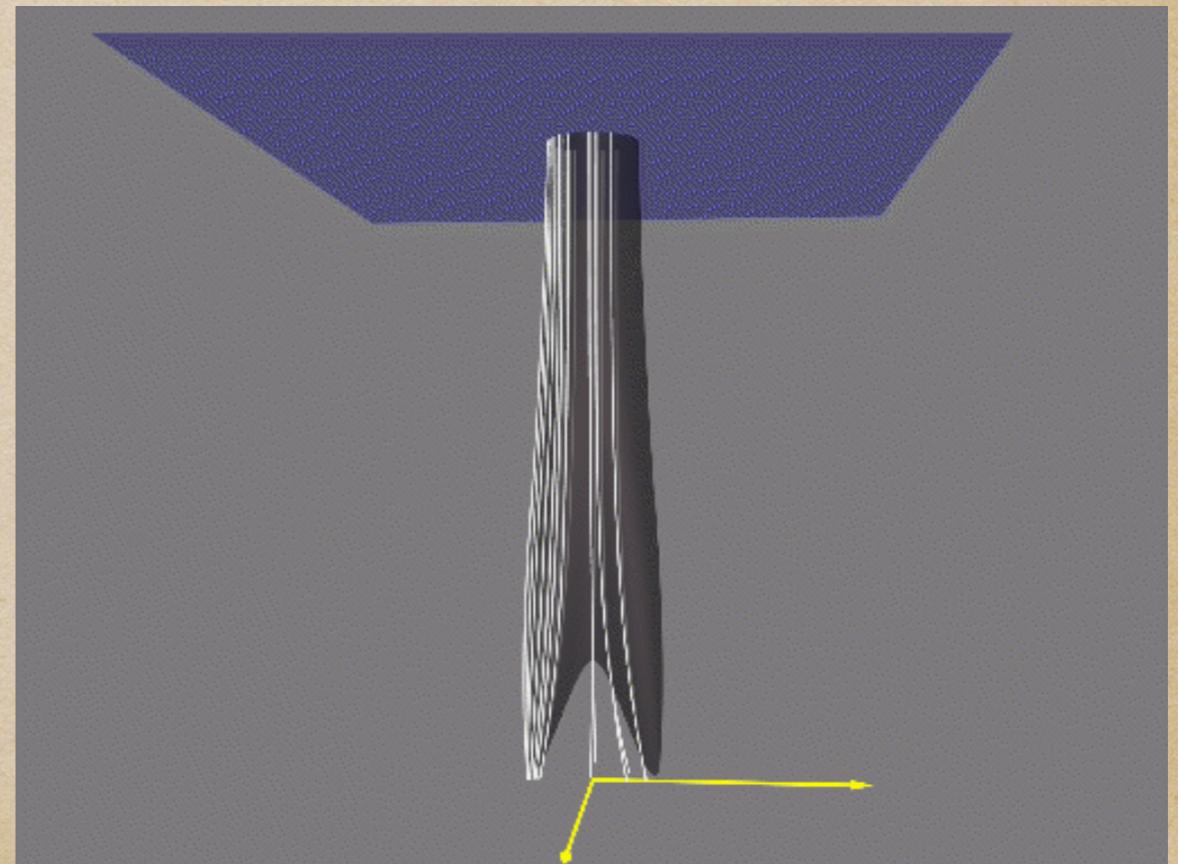
# The 1990s

## “Binary Black Hole Grand Challenge Project” (continued)

- First 3+1 dimensional BH simulations “G-code”
  - Single Schwarzschild BH stable up to  $t \approx 50 M$
  - Single moving BH stable up to  $t \approx 60 M$
  - Comparisons with axisymmetric simulations

Anninos et al PRD 1995

- Event horizon  
“pair of pants”
- Problem: long-term stability!  
→ Well-posedness studies



# Well posedness

From G Papallo, PhD thesis Cambridge, 2018

- Consider linear const.coeff. PDE system  $A\partial_t u + P^i \partial_i u + Cu = 0$

Fourier trafo  $\tilde{u}(t, k) = \frac{1}{\sqrt{2\pi}^n} \int u(t, x) e^{-ik_i x^i} d^n x$

$$\Rightarrow \partial_t \tilde{u} - i\mathcal{M} \tilde{u} = 0, \quad \mathcal{M}(k) = A^{-1}(-P^i k_i + iC)$$

Solution  $\tilde{u}(t, k) = e^{i\mathcal{M}(k)t} \tilde{u}(0, k)$

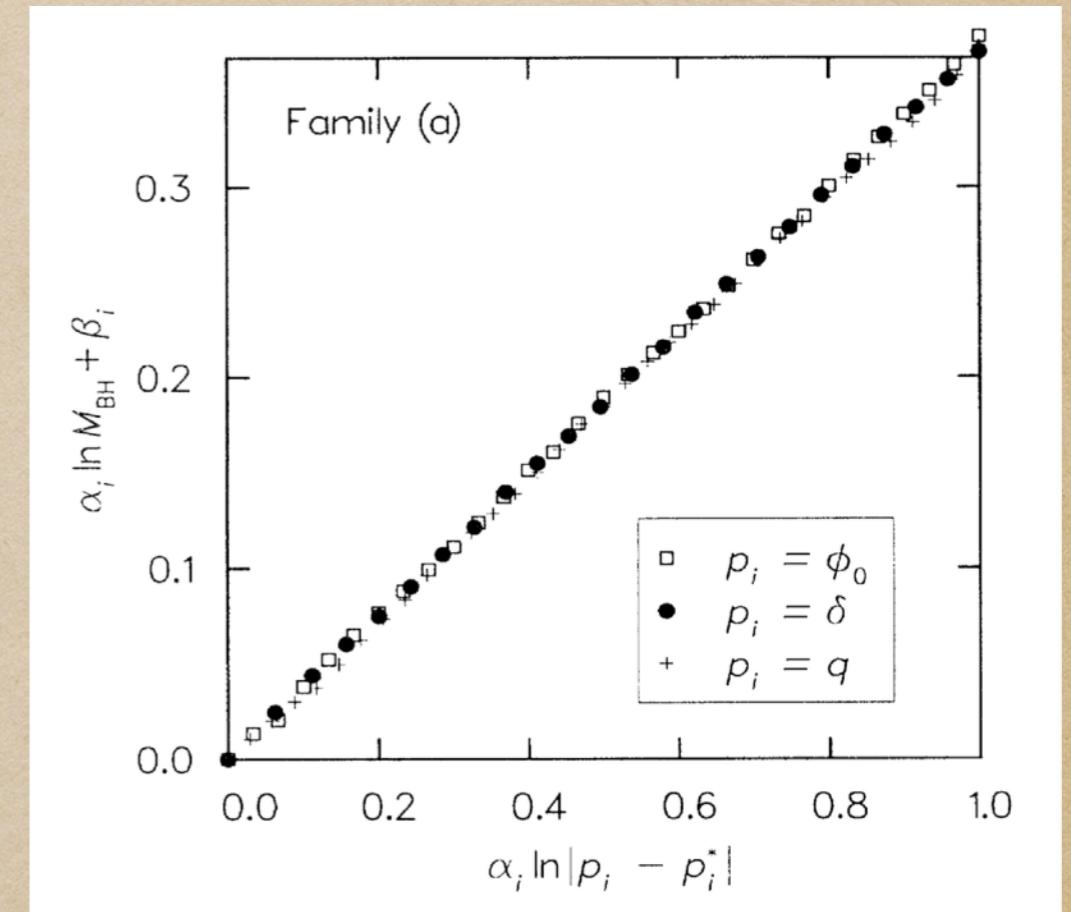
$$\Rightarrow u(t, x) = \frac{1}{\sqrt{2\pi}^n} \int e^{ik_i x^i} e^{i\mathcal{M}t} \tilde{u}(0, k) d^n k$$

- May not converge if integrand fails to decay fast with  $k = \sqrt{k_i k^i}$
- ADM equations are only weakly hyperbolic
- Strong or symmetric hyperbolicity with new formulations:

BSSN, CCZ4, GHG

# The post-Grand Challenge era

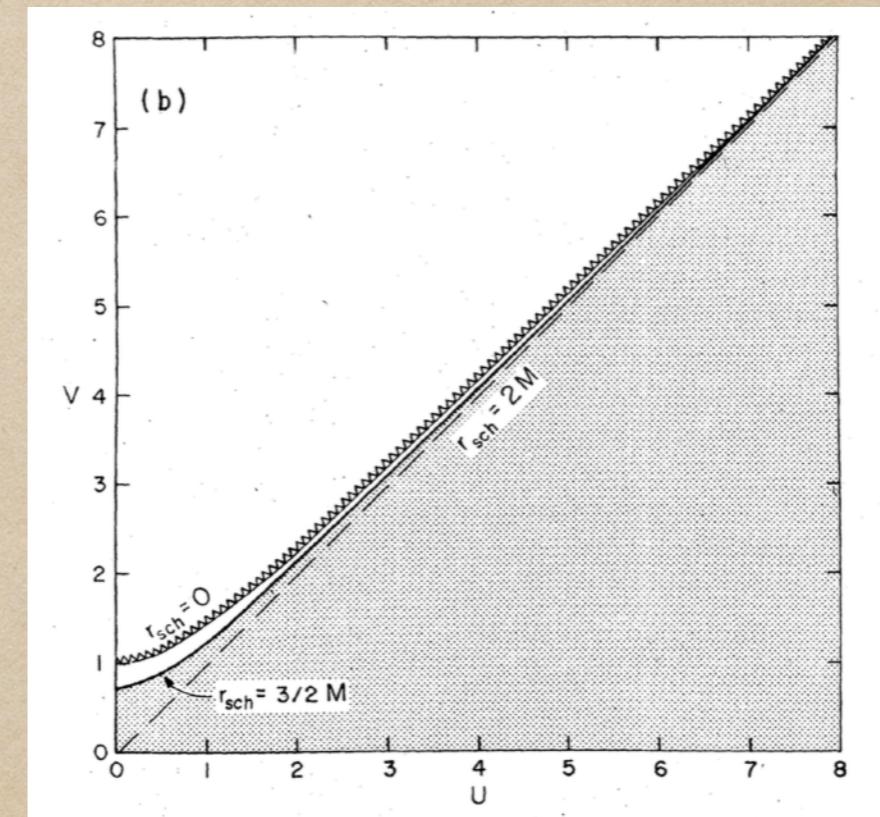
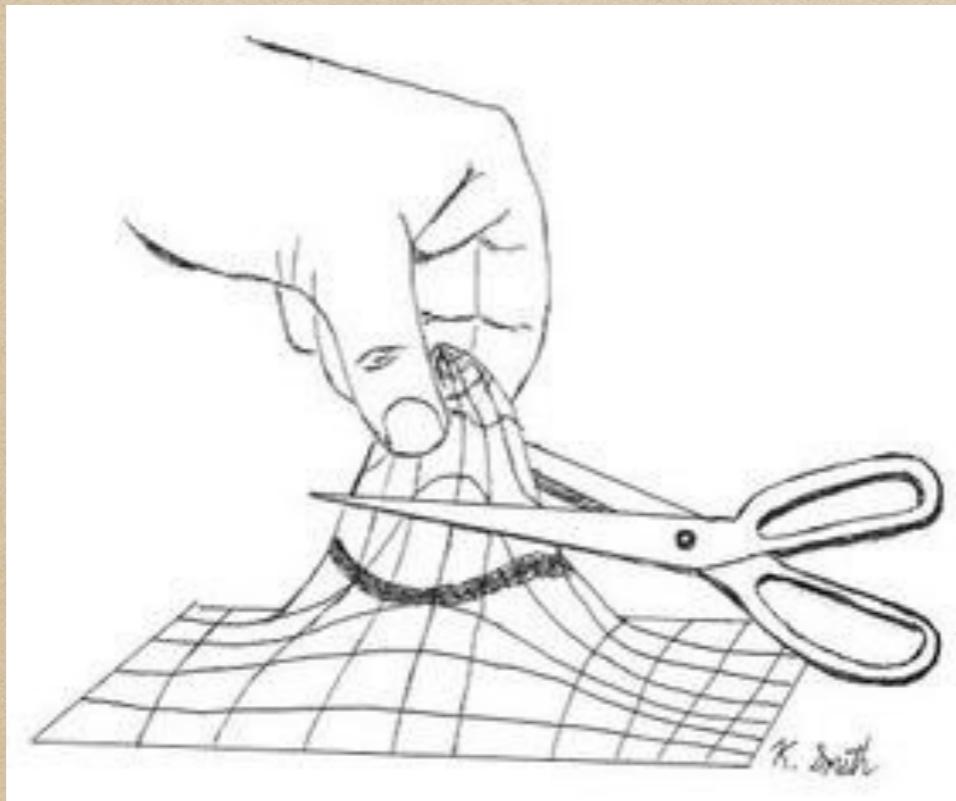
- Many smaller groups explored the problem independently
- Critical collapse: Collapse of spherically symmetric scalar field.  
BH formation or dispersal; Mesh refinement! Choptuik PRL 1993
- 1st Mesh refinement for 3+1 BHs  
Brügmann PRD 1996
- 1st long-term stable BH sim.  
(characteristic code)  
Gómez et al PRL 1998
- 1st BH Grazing collision  
Brandt et al PRL 2000
- Release of Cactus 1.0



Towards the Holy Grail

# Remaining problems

- Formulations: Well-posedness required
- Gauge conditions: Avoid coordinate singularities
- Physical singularities: Excision or good slicing



# The generalized harmonic gauge (GHG)

- Harmonic gauge: Choose coordinates such that

$$\square x^\alpha = \nabla^\mu \nabla_\mu x^\alpha = -g^{\mu\nu} \Gamma_{\mu\nu}^\alpha = 0$$

- 4 dimensional Einstein eqs. in harmonic gauge:

$$R_{\alpha\beta} = -\frac{1}{2} g^{\mu\nu} \partial_\mu \partial_\nu g_{\alpha\beta} + \dots$$

principle part of wave equation  $\Rightarrow$  Manifestly hyperbolic!

- Problem: Start with a hyper surface  $t = \text{const}$

Does  $t$  remain timelike?

- Goal: Generalize the harmonic gauge

Garfinkle PRD gr-qc/0110013; Pretorius CQG gr-qc/0407110;

Lindblom et al CQG gr-qc/0512093

$\rightarrow$  Source function  $H^\alpha = \nabla^\mu \nabla_\mu x^\alpha = -g^{\mu\nu} \Gamma_{\mu\nu}^\alpha$

# The generalized harmonic equations

- Any spacetime in any coordinates can be formulated in GH form!

Problem: find the corresponding  $H^\alpha$

- Promote the  $H^\alpha$  to evolution variables

- Einstein equations in GH form:

$$\begin{aligned} \frac{1}{2}g^{\mu\nu}\partial_\mu\partial_\nu g_{\alpha\beta} = & -\partial_\nu g_{\mu(\alpha}\partial_{\beta)}g^{\mu\nu} - \partial_{(\alpha}H_{\beta)} + H_\mu\Gamma_{\alpha\beta}^\mu - \Gamma_{\nu\alpha}^\mu\Gamma_{\mu\beta}^\nu \\ & - \frac{2}{3}\Lambda g_{\alpha\beta} - 8\pi \left( T_{\mu\nu} - \frac{1}{2}T g_{\alpha\beta} \right). \end{aligned}$$

with constraints

$$\mathcal{C}^\alpha = H^\alpha - \square x^\alpha = 0$$

- Still has principle part of the wave equation!!! Manifestly hyperbolic  
Friedrich Comm.Math.Phys. 1985; Garfinkle gr-qc/0110013;  
Pretorius gr-qc/0407110

# Initial data: Analytic data

- Schwarzschild, Kerr, Tangherlini, Myers-Perry,...

e.g. Schwarzschild in isotropic coordinates

$$ds^2 = - \left( \frac{2r - M}{2r + M} \right)^2 dt^2 + \left( 1 + \frac{M}{2r} \right)^4 [dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2)]$$

Time symmetric initial data with  $n$  BHs:

Brill & Lindquist PR 1963, Misner PR 1960

- Problem: Find initial data for dynamic systems
- Goals:
  - 1) Solve constraints
  - 2) Realistic snapshot of physical system
- This is mostly done using the ADM 3+1 split

# Initial data and conformal decomposition

- Recall: we need to satisfy the constraints

$$\mathcal{R} + K^2 - K^{mn}K_{mn} = 2\Lambda + 16\pi\rho$$

$$D_i K - D_m K_i^m = -8\pi j_i$$

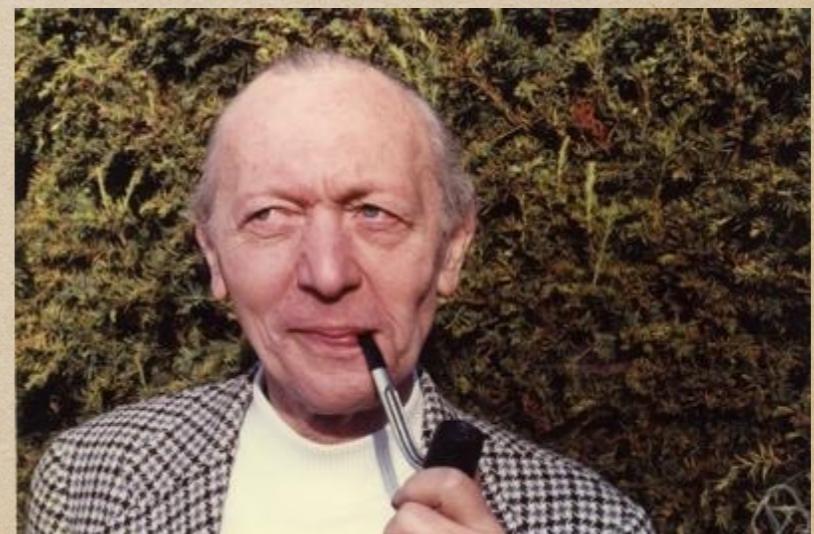
- Conformal metric  $\gamma_{ij} = \psi^4 \bar{\gamma}_{ij}$

Lichnerowicz J.Math.Pures Appl. 1944; York PRL 1971, PRL 1972

- Conformal traceless split of the extrinsic curvature

$$K_{ij} = A_{ij} + \frac{1}{3}K \gamma_{ij},$$

$$A^{ij} = \psi^{-10} \bar{A}^{ij} \Leftrightarrow A_{ij} = \psi^{-2} \bar{A}_{ij}$$



A Lichnerowicz

# Bowen-York data

- By further splitting  $\bar{A}_{ij}$  into a longitudinal and a transverse traceless part, the momentum constraints simplify substantially  
Cook LRR gr-qc/0007085
- Further assume: Vacuum,  $K = 0$ ,  $\bar{\gamma}_{ij} = f_{ij}$ ,  $\lim_{r \rightarrow \infty} \psi = 0$ , where  $f_{ij}$  is the flat metric in arbitrary coords.  
In words: Traceless E.Curv., conformal flatness, asymptotic flatness
- Then there exists an analytic solution to the momentum constraints

$$\begin{aligned}\bar{A}_{ij} &= \frac{3}{2r^2} [P_i n_j + P_j n_i - (f_{ij} - n_i n_j) P^k n_k] & P^k &= \text{Momentum} \\ &+ \frac{3}{r^3} (\epsilon_{kil} S^l n^k n_j + \epsilon_{kjl} S^l n^k n_i) , & S^k &= \text{Spin}\end{aligned}$$

where  $r$  is a coordinate radius and  $n^i = \frac{x^i}{r}$

Bowen & York PRD 1980

# Puncture data

Brandt & Brügmann PRL gr-qc/9703066

- The Hamiltonian constraint is then given by

$$\bar{\nabla}^2 \psi + \frac{1}{8} \psi^{-7} \bar{A}_{mn} \bar{A}^{mn} = 0$$

- Ansatz for conformal factor  $\psi = \psi_{\text{BL}} + u$

where  $\psi_{\text{BL}} = \sum_{a=1}^N \frac{m_a}{|\vec{r} - \vec{r}_a|}$  is the Brill-Lindquist conformal factor,

i.e. the solution for  $\bar{A}_{ij} = 0$ .

- There then exist unique  $C^2$  solutions  $u$  to the Hamiltonian constr.
- The Hamiltonian constraint in this form is particularly suitable for numerical solution.

E.g. Ansorg, Brügmann & Tichy gr-qc/0404056

# Beyond conformally flat initial data

- Problem: Conformally flat data limits spins to  $S/M^2 \lesssim 0.928$   
Dain et al. PRD gr-qc/0201062
- Similar problems arise for large linear momenta
- Solution: Non-conformally flat initial data
  - Superpose Kerr-Schild data Lovelace et al. PRD 0805.4192  
Solve constraints with Conformal Thin Sandwich approach  
York PRL 82 (1999) 1350
  - Superpose boosted conformal Kerr BHs; attenuation functions  
Zlochower et al. PRD 1706.01980, Ruchlin et al. PRD 1410.8607  
Evolve with CCZ4 (constraint damping variant of BSSN)  
Alic et al. PRD 1106.2254, Hilditch et al. PRD 1212.2901

# The BSSNOK system

- Goal: Modify ADM eqs. to get a strongly hyperbolic system  
Nakamura et al PTPS 1987, Shibata & Nakamura PRD 1995,  
Baumgarte & Shapiro gr-qc/9810065
- Use (i) conformal decomposition, (ii) trace split, (iii) aux. variables

$$\gamma := \det \gamma_{ij}, \quad \chi := \gamma^{-1/3}, \quad K = \gamma^{mn} K_{mn},$$

$$\tilde{\gamma}_{ij} := \chi \gamma_{ij} \quad \Leftrightarrow \quad \tilde{\gamma}^{ij} = \frac{1}{\chi} \gamma^{ij},$$

$$\tilde{A}_{ij} := \chi \left( K_{ij} - \frac{1}{3} \gamma_{ij} K \right) \quad \Leftrightarrow \quad K_{ij} = \frac{1}{\chi} \left( \tilde{A}_{ij} + \frac{1}{3} \tilde{\gamma}_{ij} K \right),$$

$$\tilde{\Gamma}^i := \tilde{\gamma}^{mn} \tilde{\Gamma}_{mn}^i.$$

- Auxiliary constraints

$$\tilde{\gamma} = 1, \quad \tilde{\gamma}^{mn} \tilde{A}_{mn} = 0, \quad \mathcal{G}^i \equiv \tilde{\Gamma}^i - \tilde{\gamma}^{mn} \tilde{\Gamma}_{mn}^i = 0.$$

# The BSSN equations

$$\mathcal{H} := \mathcal{R} + \frac{2}{3}K^2 - \tilde{A}^{mn}\tilde{A}_{mn} - 16\pi\rho - 2\Lambda = 0,$$

$$\mathcal{M}_i := \tilde{\gamma}^{mn}\tilde{D}_m\tilde{A}_{ni} - \frac{2}{3}\partial_i K - \frac{3}{2}\tilde{A}^m{}_i \frac{\partial_m \chi}{\chi} - 8\pi j_i = 0,$$

$$\partial_t \chi = \beta^m \partial_m \chi + \frac{2}{3} \chi (\alpha K - \partial_m \beta^m),$$

$$\partial_t \tilde{\gamma}_{ij} = \beta^m \partial_m \tilde{\gamma}_{ij} + 2\tilde{\gamma}_{m(i} \partial_{j)} \beta^m - \frac{2}{3} \tilde{\gamma}_{ij} \partial_m \beta^m - 2\alpha \tilde{A}_{ij},$$

$$\partial_t K = \beta^m \partial_m K - \chi \tilde{\gamma}^{mn} D_m D_n \alpha + \alpha \tilde{A}^{mn} \tilde{A}_{mn} + \frac{1}{3} \alpha K^2 + 4\pi \alpha (S + \rho) - \alpha \Lambda,$$

$$\begin{aligned} \partial_t \tilde{A}_{ij} &= \beta^m \partial_m \tilde{A}_{ij} + 2\tilde{A}_{m(i} \partial_{j)} \beta^m - \frac{2}{3} \tilde{A}_{ij} \partial_m \beta^m + \alpha K \tilde{A}_{ij} - 2\alpha \tilde{A}_{im} \tilde{A}^m{}_j \\ &\quad + \chi (\alpha \mathcal{R}_{ij} - D_i D_j \alpha - 8\pi \alpha S_{ij})^{\text{TF}}, \end{aligned}$$

$$\begin{aligned} \partial_t \tilde{\Gamma}^i &= \beta^m \partial_m \tilde{\Gamma}^i + \frac{2}{3} \tilde{\Gamma}^i \partial_m \beta^m - \tilde{\Gamma}^m \partial_m \beta^i + \tilde{\gamma}^{mn} \partial_m \partial_n \beta^i + \frac{1}{3} \tilde{\gamma}^{im} \partial_m \partial_n \beta^n \\ &\quad - \tilde{A}^{im} \left( 3\alpha \frac{\partial_m \chi}{\chi} + 2\partial_m \alpha \right) + 2\alpha \tilde{\Gamma}^i{}_{mn} \tilde{A}^{mn} - \frac{4}{3} \alpha \tilde{\gamma}^{im} \partial_m K - 16\pi \frac{\alpha}{\chi} j^i - \sigma \mathcal{G}^i \partial_m \beta^m. \end{aligned}$$

- Note: there exist slight variations of the exact equations

# The BSSN equations

- Auxiliary expressions we have used:

$$\Gamma_{jk}^i = \tilde{\Gamma}_{jk}^i - \frac{1}{2\chi} (\delta^i{}_k \partial_j \chi + \delta^i{}_j \partial_k \chi - \tilde{\gamma}_{jk} \tilde{\gamma}^{im} \partial_m \chi)$$

$$\mathcal{R}_{ij} = \tilde{R}_{ij} + \mathcal{R}_{ij}^\chi,$$

$$\mathcal{R}_{ij}^\chi = \frac{\tilde{\gamma}_{ij}}{2\chi} \left( \tilde{\gamma}^{mn} \tilde{D}_m \tilde{D}_n \chi - \frac{3}{2\chi} \tilde{\gamma}^{mn} \partial_m \chi \partial_n \chi \right) + \frac{1}{2\chi} \left( \tilde{D}_i \tilde{D}_j \chi - \frac{1}{2} \partial_i \chi \partial_j \chi \right),$$

$$\tilde{R}_{ij} = -\frac{1}{2} \tilde{\gamma}^{mn} \partial_m \partial_n \tilde{\gamma}_{ij} + \tilde{\gamma}_{m(i} \partial_{j)} \tilde{\Gamma}^m + \tilde{\gamma}^m \tilde{\Gamma}_{(ij)m} + \tilde{\gamma}^{mn} \left[ 2\tilde{\Gamma}_{m(i}^k \tilde{\Gamma}_{j)kn} + \tilde{\Gamma}_{im}^k \tilde{\Gamma}_{kjn} \right],$$

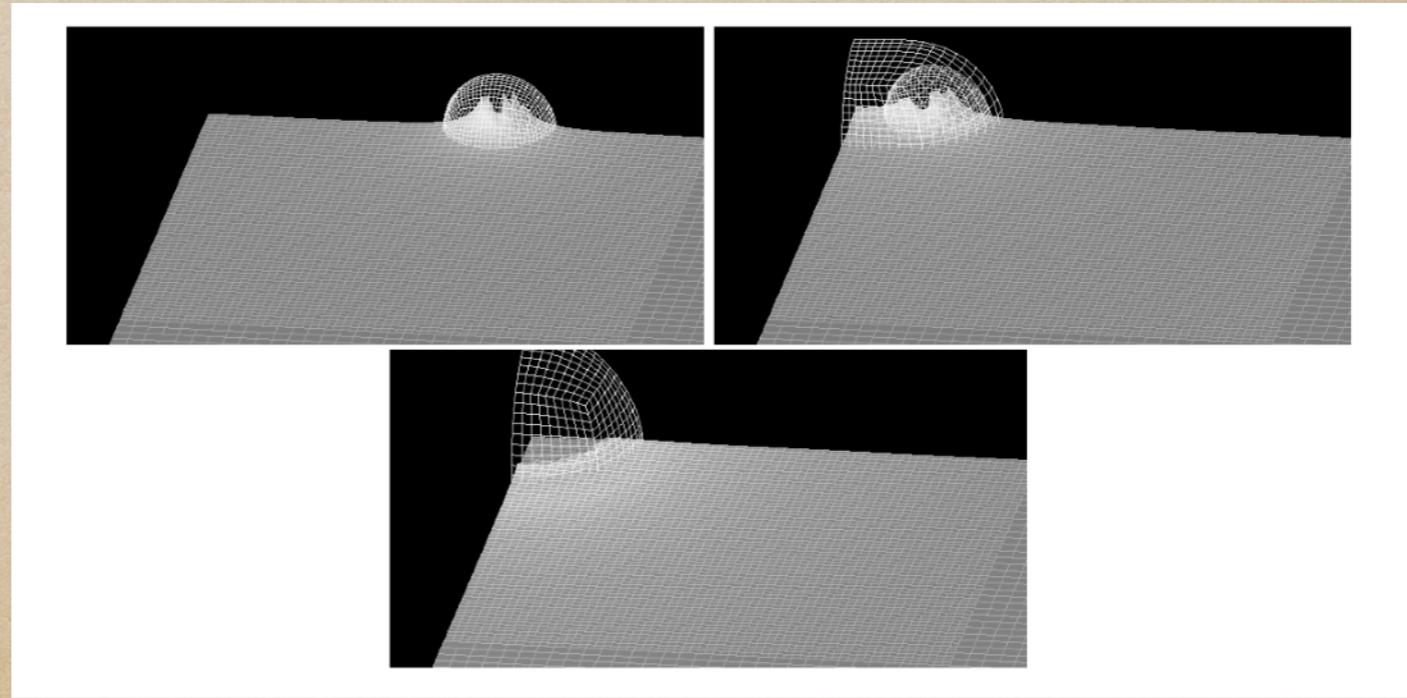
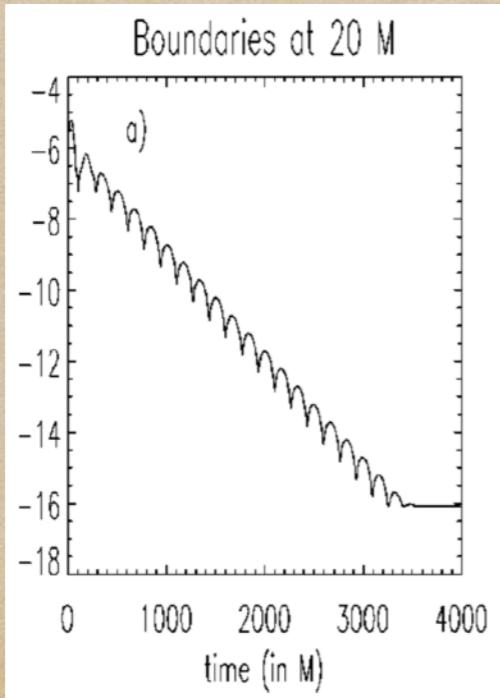
$$D_i D_j \alpha = \tilde{D}_i \tilde{D}_j \alpha + \frac{1}{\chi} \partial_{(i} \chi \partial_{j)} \alpha - \frac{1}{2\chi} \tilde{\gamma}_{ij} \tilde{\gamma}^{mn} \partial_m \partial_n \alpha.$$

# Beyond BSSN

- BSSN has a zero speed mode in the constraint-subsystem;  
May result in large constraint violations
- BSSN does not have systematic constraint damping
- This can be implemented by considering Generalized Einstein Eqs.  
Bona et al. PRD gr-qc/0302083 “Z4” system
- Conformal version of Z4: Very like BSSN but has constraint damping  
Alic et al. PRD 1106.2254, Hilditch et al. PRD 1212.2901
- Also allows for constraint preserving boundary conditions  
Bona et al. CQG gr-qc/0411110, Ruiz et al. PRD 1010.0523

# Progress accelerates: The early 2000s

- BSSN found empirically. Then strong hyperbolicity shown  
Gundlach & Martín-García 2004
- 1st stable 3+1 evolution of Schwarzschild “simple excision”  
Alcubierre & Brügmann gr-qc/0008067
- Stable head-on collisions  
US et al gr-qc/0503071, Fiske et al gr-qc/0503100
- BH binary orbit Brügmann et al gr-qc/0312112



# Missing pieces I: Constraint damping in GHG

- One can show: GHG constraints related to ADM constraints

$$\mathcal{C}^\alpha = 0, \quad \partial_t \mathcal{C}^\alpha = 0 \quad \text{at } t = 0 \quad \Rightarrow \quad \mathcal{H} = 0, \quad \mathcal{M}_i = 0$$

- Bianchi identities imply evolution of the  $\mathcal{C}^\alpha$ :

$$\square \mathcal{C}_\alpha = -\mathcal{C}^\mu \nabla_{(\mu} \mathcal{C}_{\alpha)} - \mathcal{C}^\mu \left[ 8\pi \left( T_{\mu\alpha} - \frac{1}{2} T g_{\mu\alpha} \right) + \Lambda g_{\mu\alpha} \right].$$

- In practice: Numerical violations of  $\mathcal{C}^\mu = 0 \Rightarrow$  unstable modes!
- Solution: Add constraint damping terms

$$\begin{aligned} \frac{1}{2} \partial_\mu \partial_\nu g_{\alpha\beta} = & -\partial_\nu g_{\mu(\alpha} \partial_{\beta)} g^{\mu\nu} - \partial_{(\alpha} H_{\beta)} + H_\mu \Gamma_{\alpha\beta}^\mu - \Gamma_{\nu\alpha}^\mu \Gamma_{\mu\beta}^\nu \\ & - \Lambda g_{\alpha\beta} - 8\pi \left( T_{\mu\nu} - \frac{1}{2} T g_{\mu\nu} \right) - \kappa [2n_{(\alpha} \mathcal{C}_{\beta)} - \lambda g_{\alpha\beta} n^\mu \mathcal{C}_\mu] \end{aligned}$$

Gundlach et al CQG (2005)

- E.g. Pretorius PRL gr-qc/0507014 uses  $\kappa = 1.25/m, \lambda = 1$

# Missing pieces II: Gauge in BSSN

- Recall: Einstein's equations say nothing about  $\alpha, \beta^i$
- Any choice of lapse and shift gives a solution to Einstein's eqs.
- This is the coordinate or gauge freedom of GR
- If the physics do not depend on  $\alpha, \beta^i$ , then why bother?
- Answer: The performance of the numerics DO depend very sensitively on the gauge!

# Ingredients for good gauge

- Singularity avoidance
- Avoid slice stretching
- Aim for stationarity in a co-moving frame
- Well-posedness of the system of PDEs
- Generalize “good” gauge, e.g. harmonic
- Lots of good luck!

Bona et al PRL (1995)

Alcubierre et al PRD gr-qc/0206072

Alcubierre CQG gr-qc/0210050

Garfinkle PRD gr-qc/0110013

# Moving puncture gauge

- Moving punctures is one of the NR breakthrough methods  
Baker et al PRL gr-qc/0511103; Campanelli et al PRL gr-qc/0511048
  - Gauge played a key role
  - Variant of 1 + log slicing and  $\Gamma$ -driver shift  
Alcubierre et al PRD gr-qc/0206072
  - Now in use as  $\partial_t \alpha = \beta^m \partial_m \alpha - 2\alpha K$   
and  $\partial_t \beta^i = \beta^m \partial_m \beta^i + \frac{3}{4} B^i$   
 $\partial_t B^i = \beta^m \partial_m B^i + \partial_t \tilde{\Gamma}^i - \beta^m \partial_m \tilde{\Gamma}^i - \eta B^i$   
or  $\partial_t \beta^i = \beta^m \partial_m \beta^i + \frac{3}{4} \tilde{\Gamma}^i - \eta \beta^i$
- e.g. van Meter et al PRD gr-qc/0605030

# The 2005 breakthroughs

- First simulation of orbiting BBH through merger

Pretorius PRL gr-qc/0507014



GR



Initial data: Scalar field



BH excision

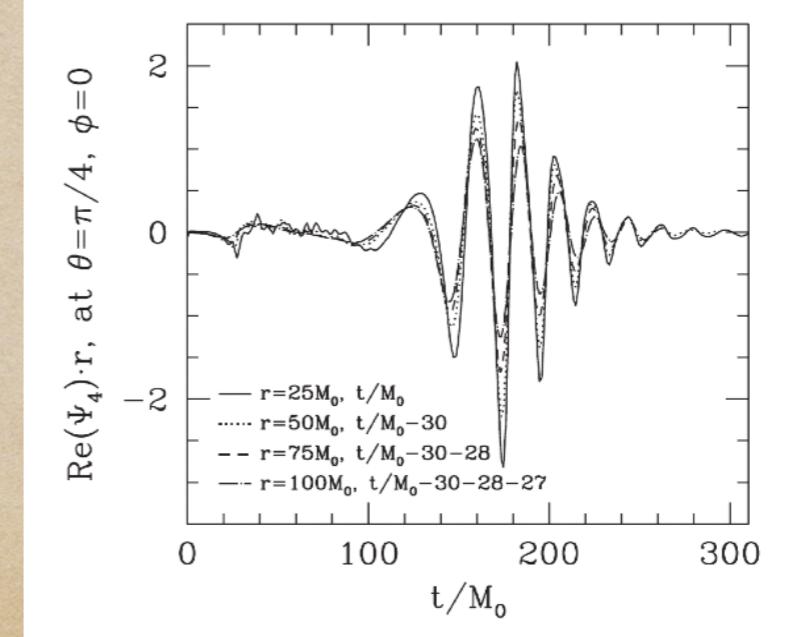
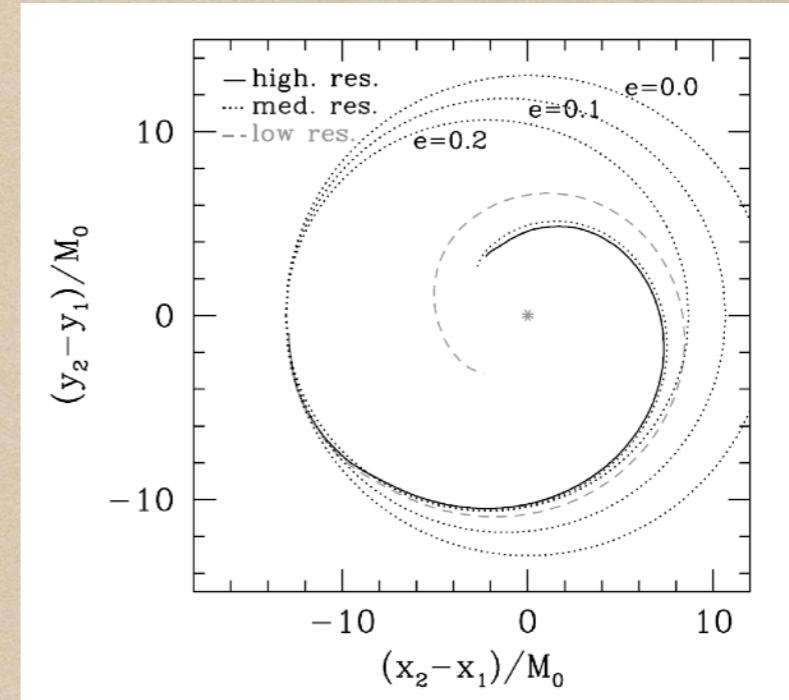


Radiated energy  $\sim 3\% M$



Eccentricity  $e \sim 0 \dots 0.2$

- Presented at Banff conference



# The 2005 breakthroughs

- Moving puncture breakthrough by Brownsville and Goddard groups

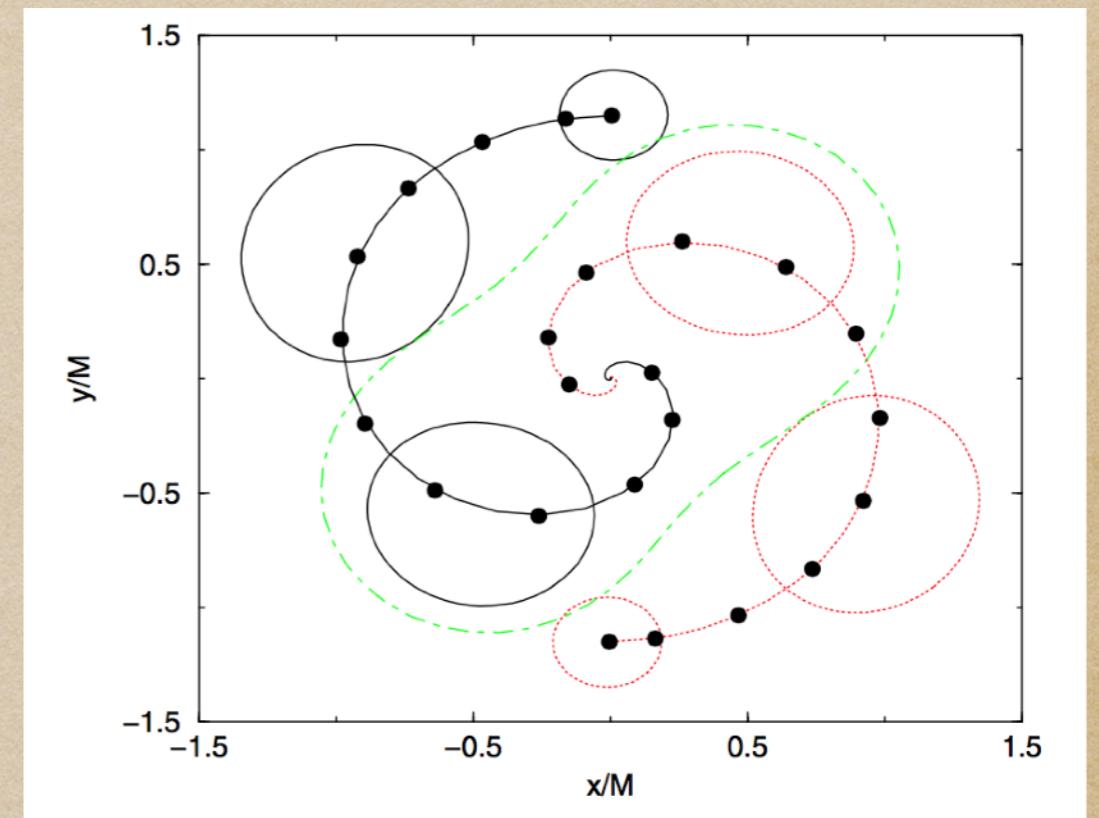
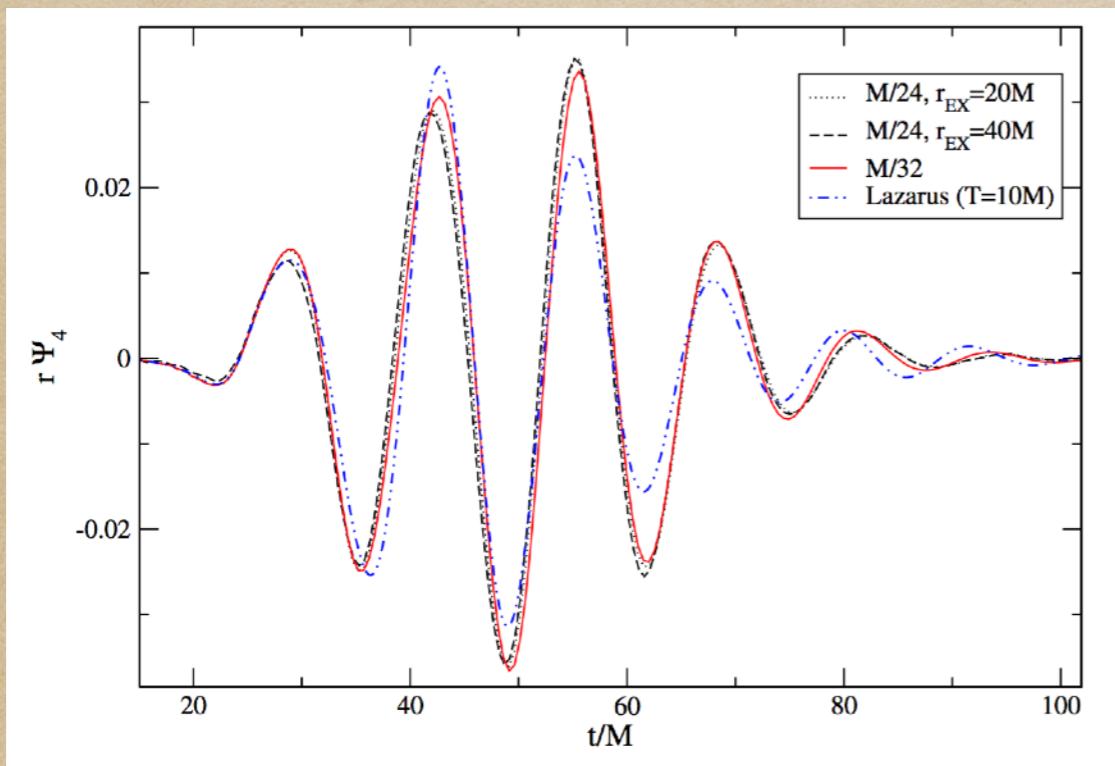
Campanelli et al PRL gr-qc/0511048; Baker et al PRL gr-qc/051103

BSSN

Bowen-York initial data

Moving puncture gauge

Radiated energy  $\sim 3\% M$



The goldrush years

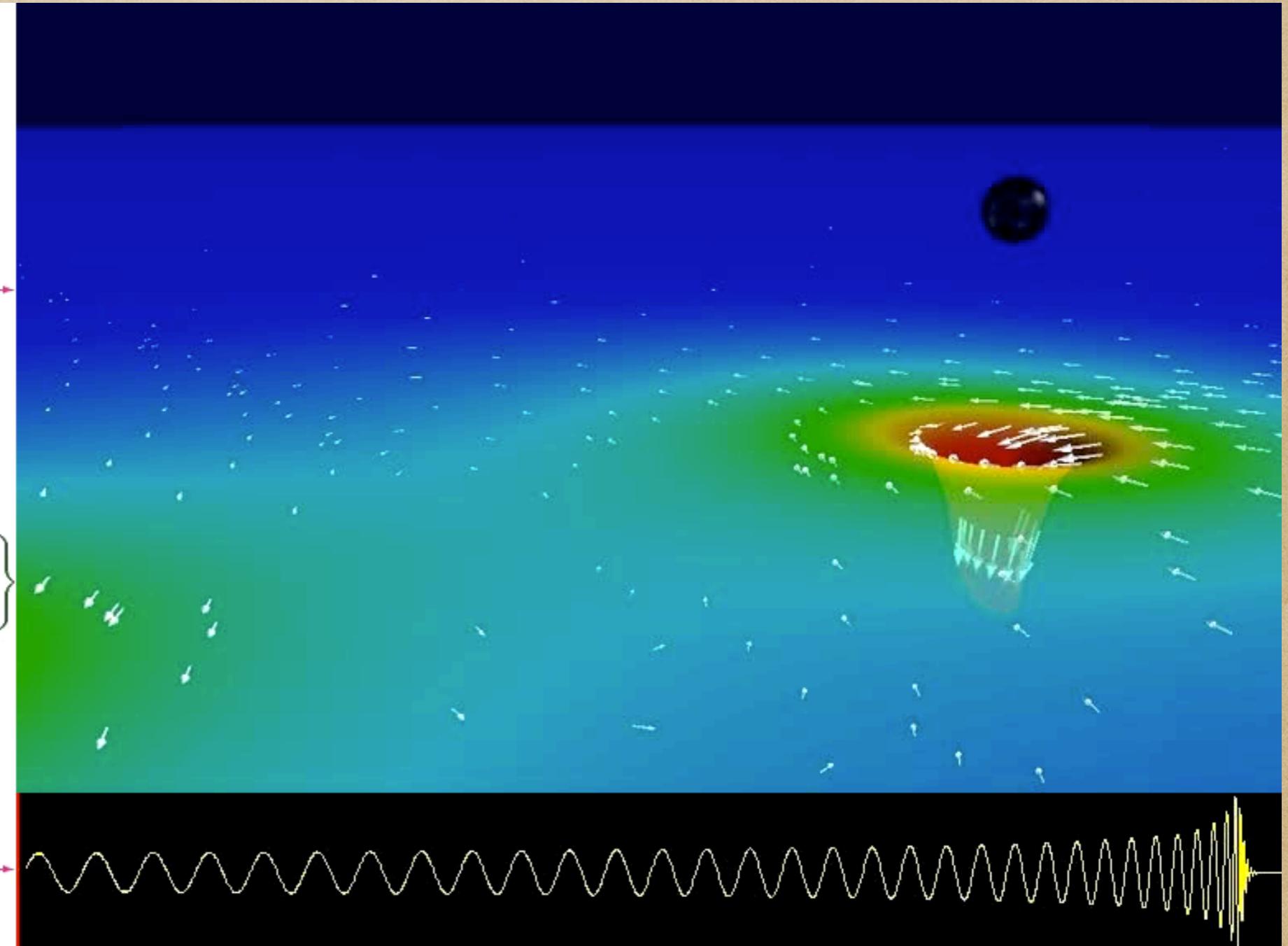
# Anatomy of a BHB inspired

Binary Black Hole Evolution:  
Caltech/Cornell Computer Simulation

Top: 3D view of Black Holes  
and Orbital Trajectory

Middle: Spacetime curvature:  
Depth: Curvature of space  
Colors: Rate of flow of time  
Arrows: Velocity of flow of space

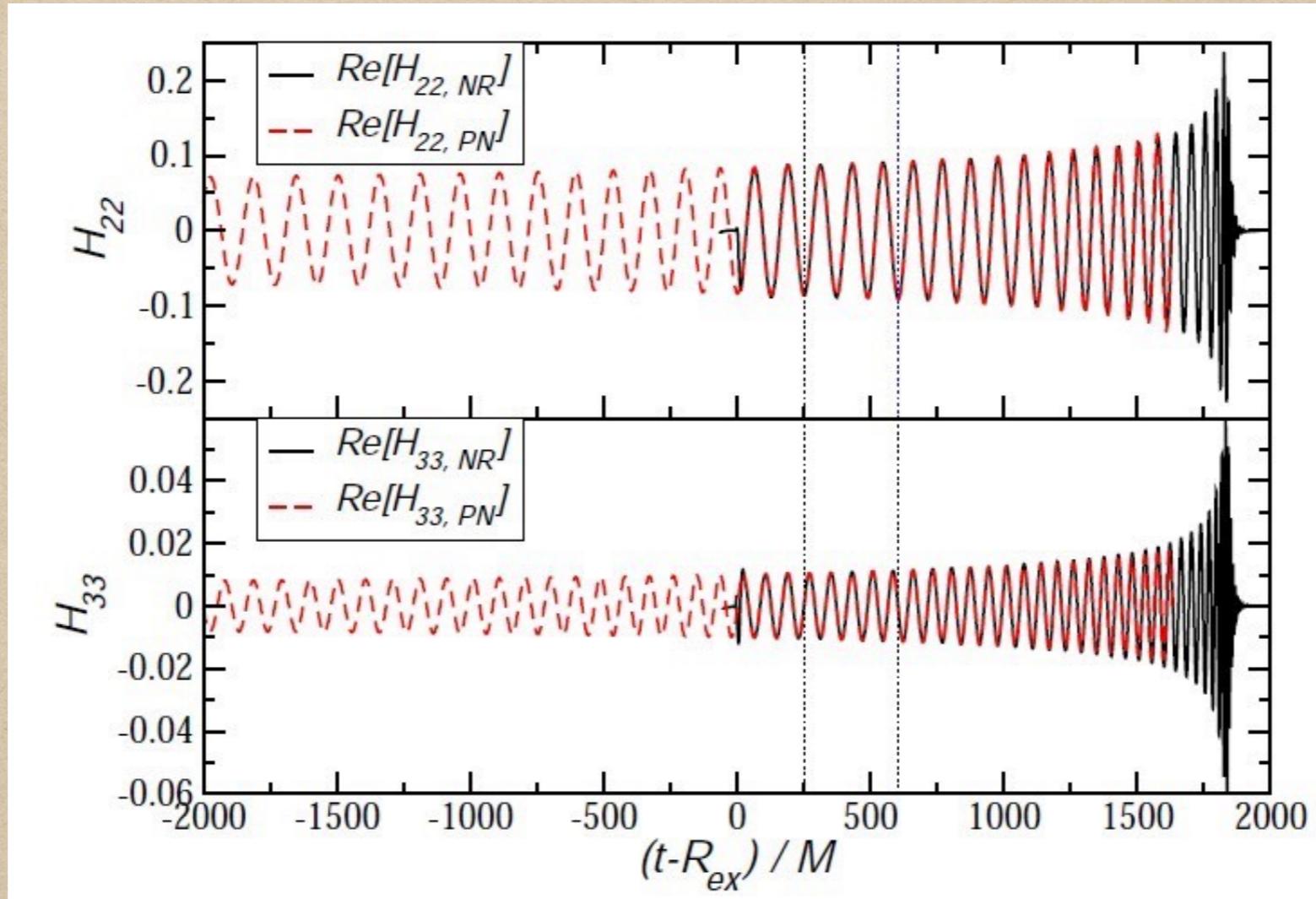
Bottom: Waveform  
(red line shows current time)



Thanks to Caltech-Cornell groups

# Hybrid waveforms and catalogs

- Stitch together PN and NR waveforms



US et al CQG 2011

- Mass produce waveforms; Hinder et al CQG 1307.5307;  
Mroué et al PRL 1004.4697

# Gravitational recoil

- Anisotropic GW emission  $\Rightarrow$  recoil of remnant BH

Bonnor & Rotenburg Proc.R.Soc.Lond.A. (1961);

Peres PR (1962); Bekenstein ApJ (1973)

- Escape velocities: Globular clusters       $\sim 30 \text{ km/s}$   
dSph       $20 \dots 100 \text{ km/s}$   
dE       $100 \dots 300 \text{ km/s}$   
Giant galaxies       $\sim 1000 \text{ km/s}$

- Ejection/displacement of BHs affects

- Growth history of SMBHs
- BH populations, IMBHs
- galaxy structure
- observational “footprints”

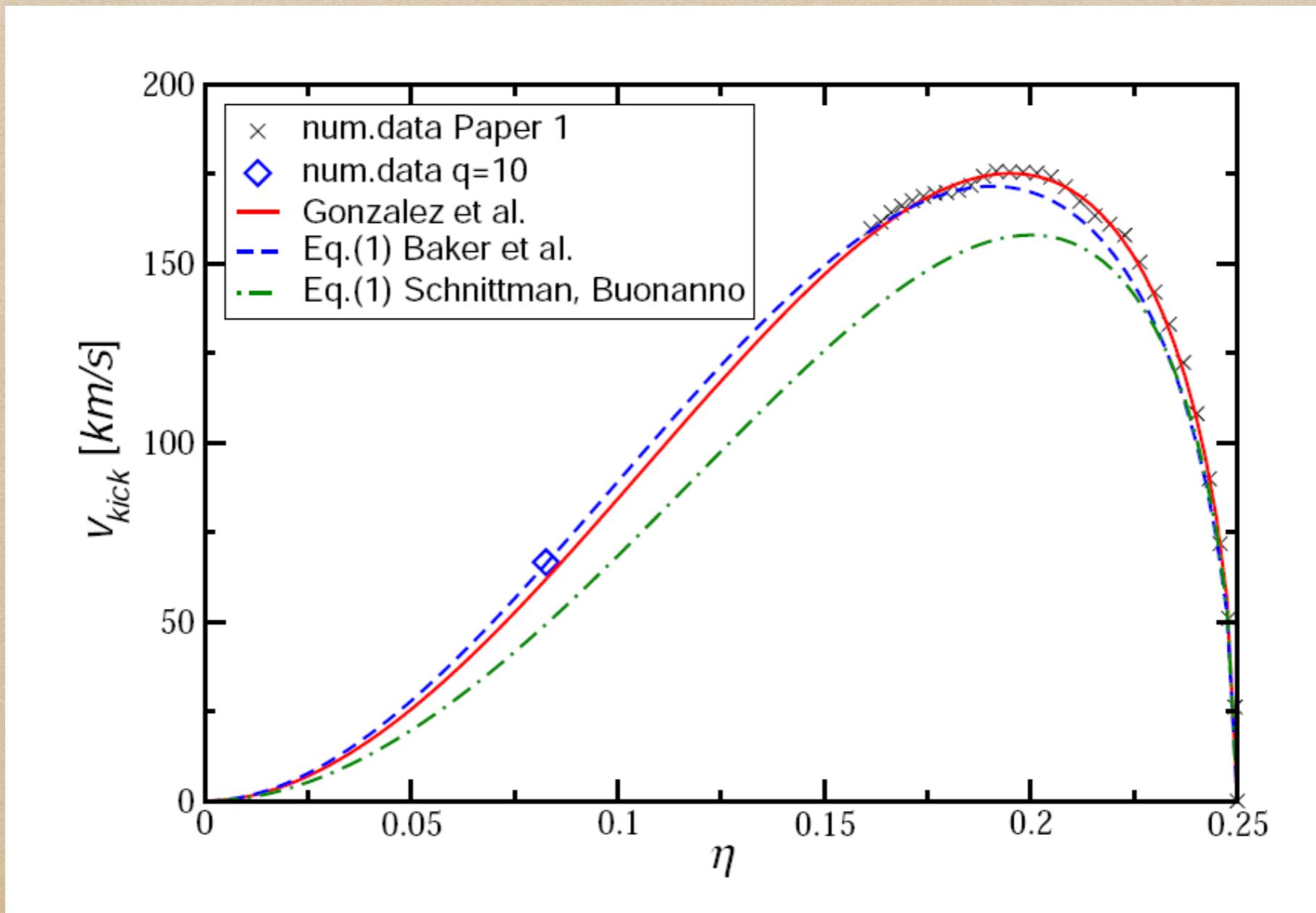
Komossa Adv.Astron. 1202.1977



# Kicks from non-spinning BH binaries

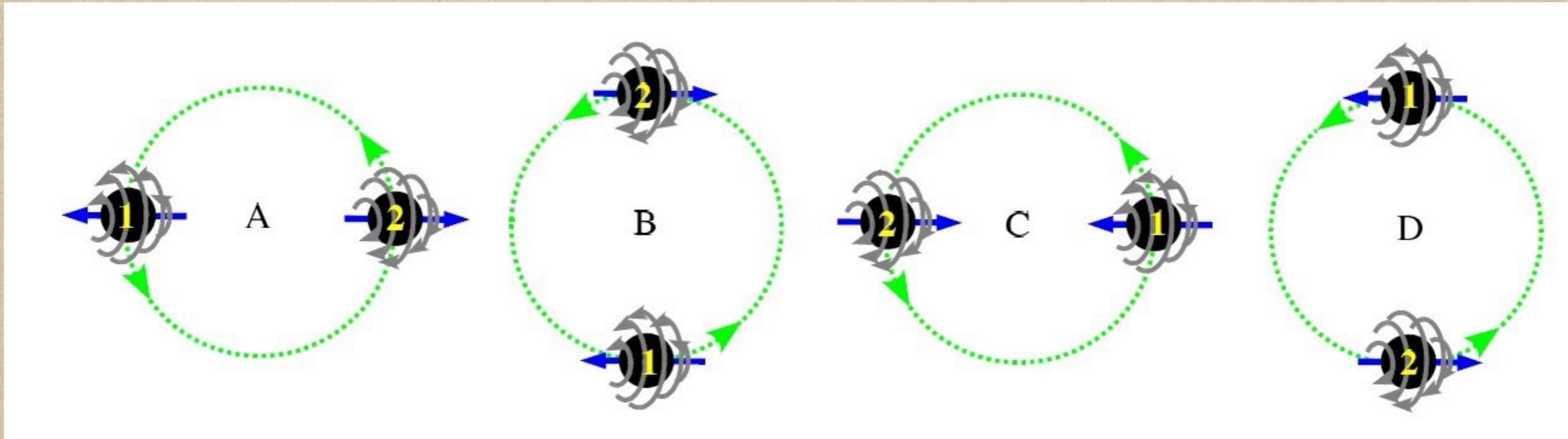
- Maximal kick:  $\sim 180$  km/s pretty harmless!

González et al PRL gr-qc/0610154



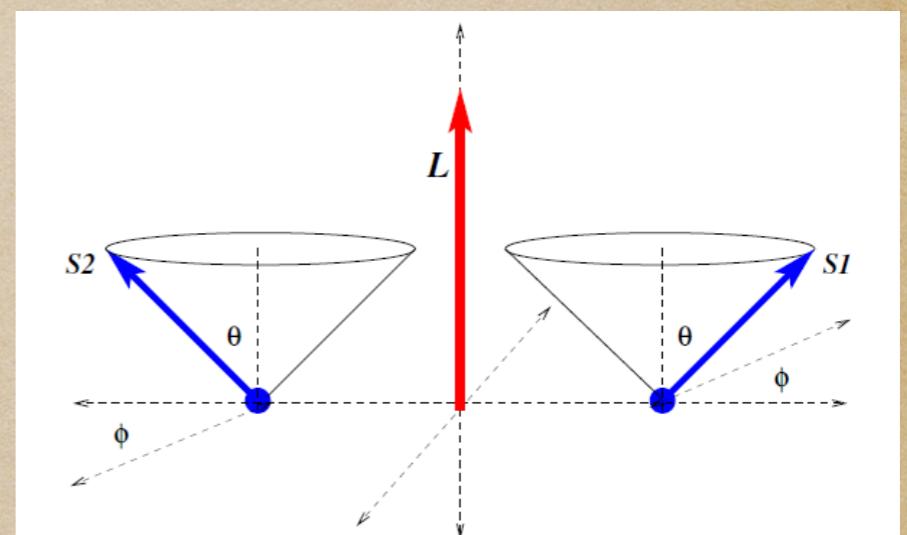
# Spinning BHs: Superkicks

- Superkick configurations; Kidder gr-qc/9506022; Pretorius 0710.1338



- Kicks up to  $v_{\max} \approx 4000$  km/s  
González et al PRL gr-qc/0702052  
Campanelli et al PRL gr-qc/0702133
- Yet larger kicks for partially aligned spins  
 $v_{\max} \approx 5000$  km/s

Lousto et al PRL 1108.2009



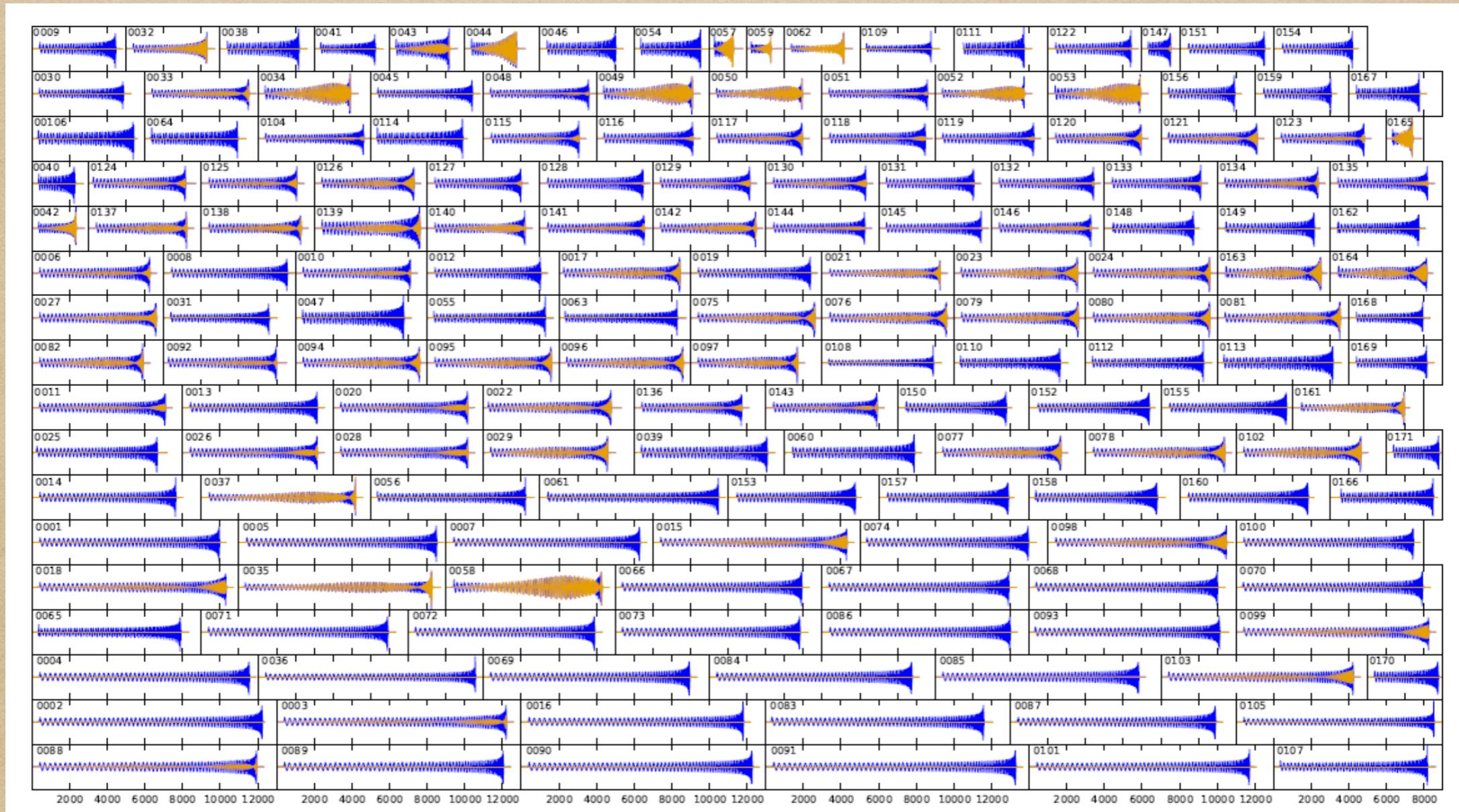
**Towards new horizons**

# Tools of mass production

- Explore seven-dim. parameter space. E.g. SpEC catalogue:

171 waveforms:  $m_1/m_2 \leq 8$  up to 34 orbits

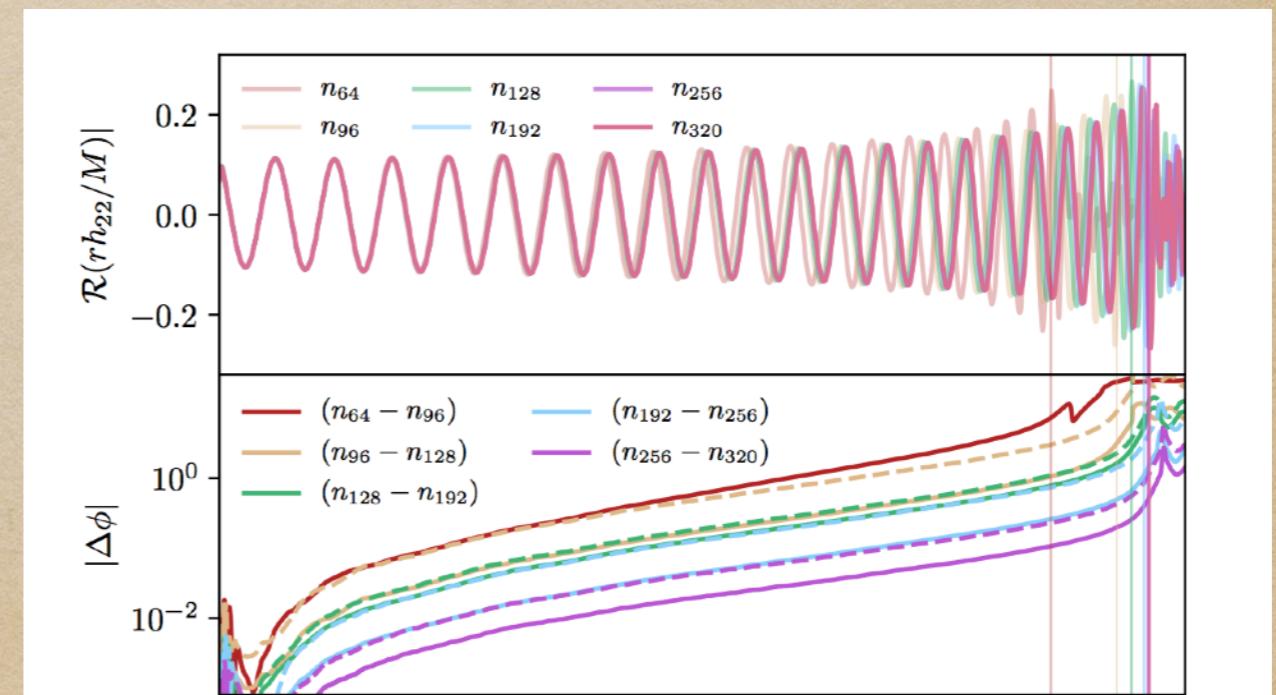
Mroué et al PRL 1304.6077



# Neutron star binaries

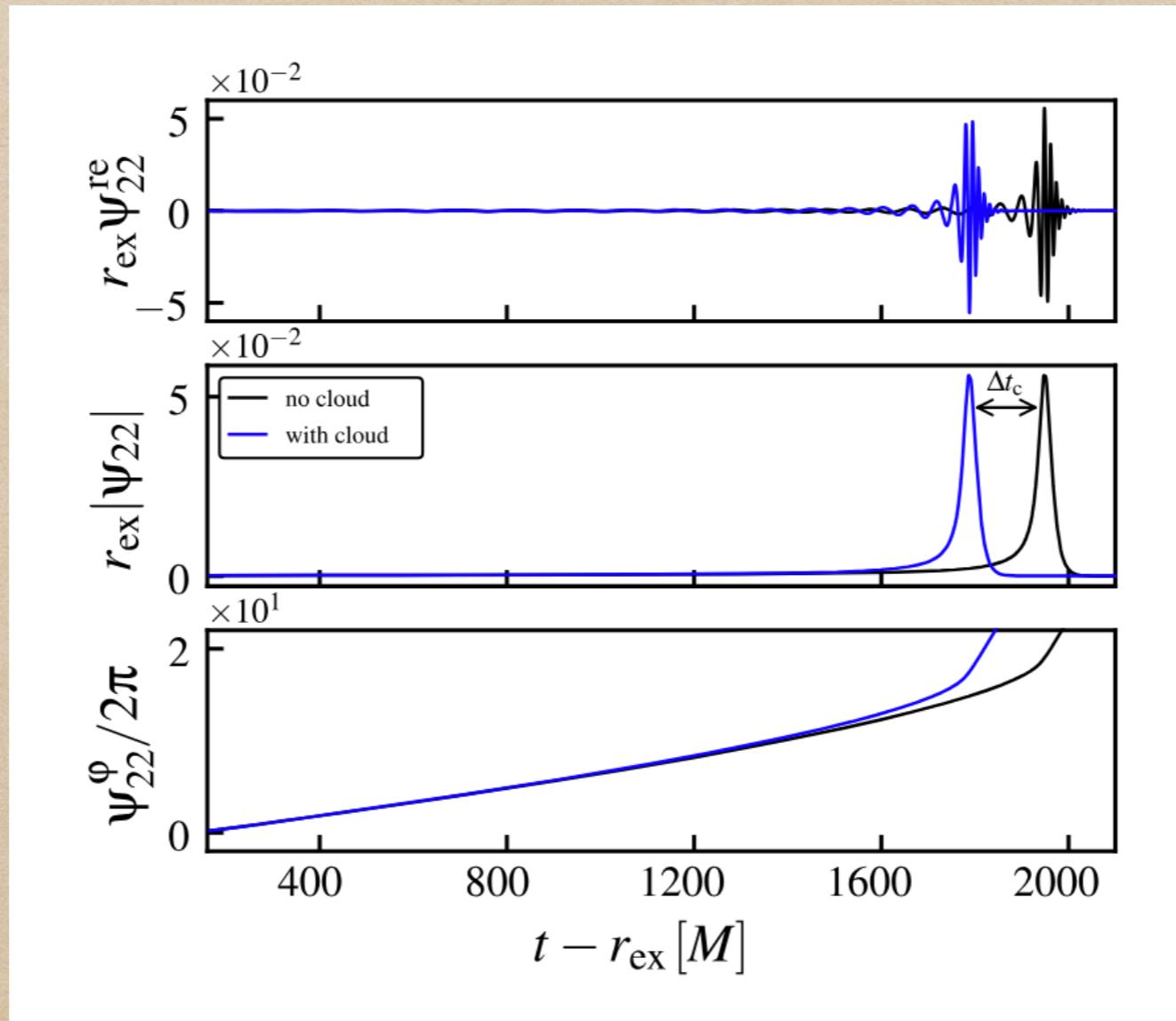
- Other challenges: No spacetime singularities, but shocks!
  - HRSC treatment needs flux conservative Eqs.  $\partial_t \mathbf{u} + \partial_i \mathbf{f}(\mathbf{u}) = \mathbf{s}$
  - Solutions not unique  $\rightarrow$  entropy conditions!
- The first NS binary inspirals preceded the BH breakthrough!  
Shibata+ PRD 2003, Marronetti+ PRL 2004, Miller+ PRD 2004
- Template constructions: e.g. Dietrich et al 1905.06011

NRTidalv2 approximant



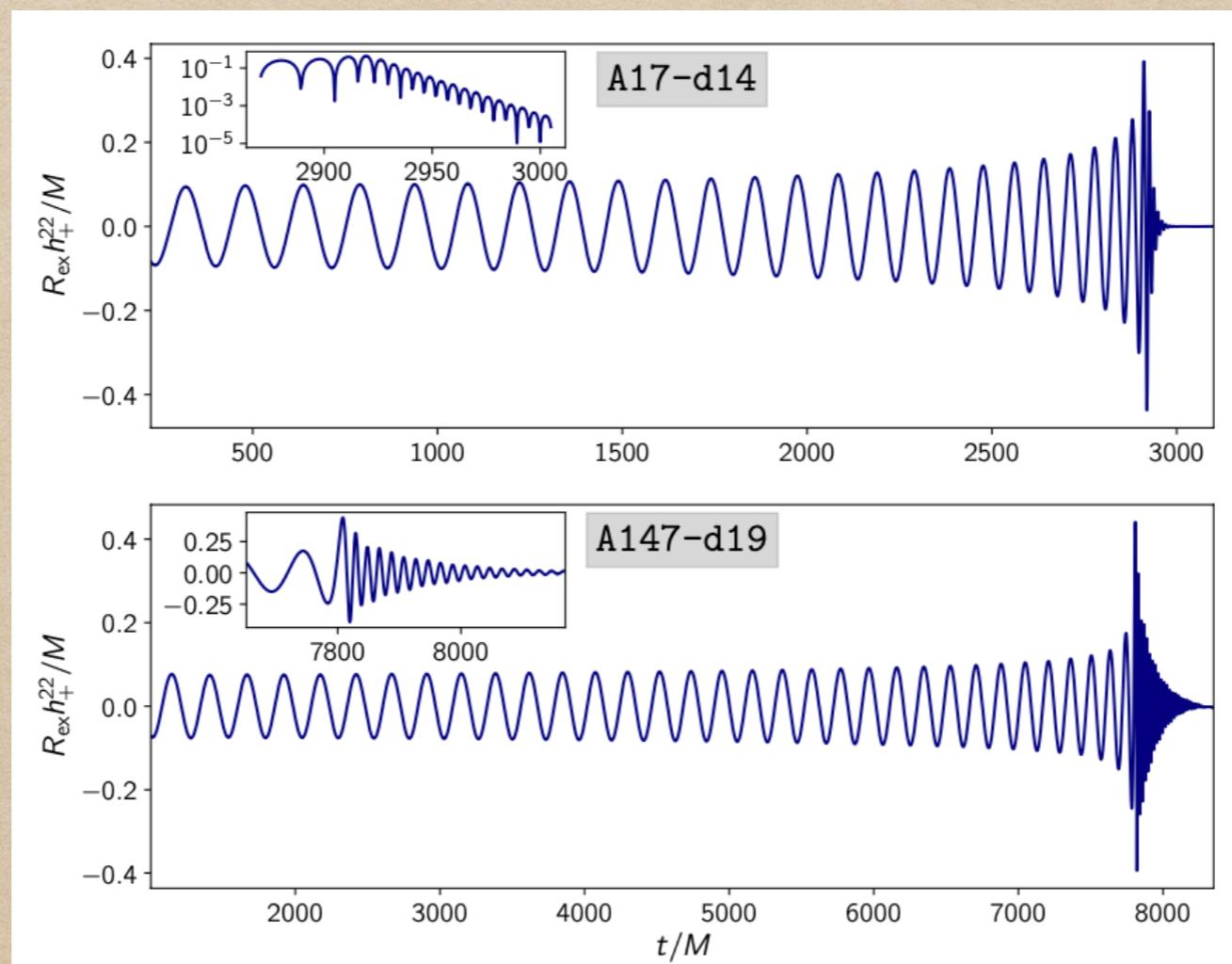
# BBH inspiral in dark-matter environments

- Equal-mass BBHs + complex, massive scalar field
- Dephasing maximal if Compton wavelength  $\approx$  orbital separation



# Boson-star binaries

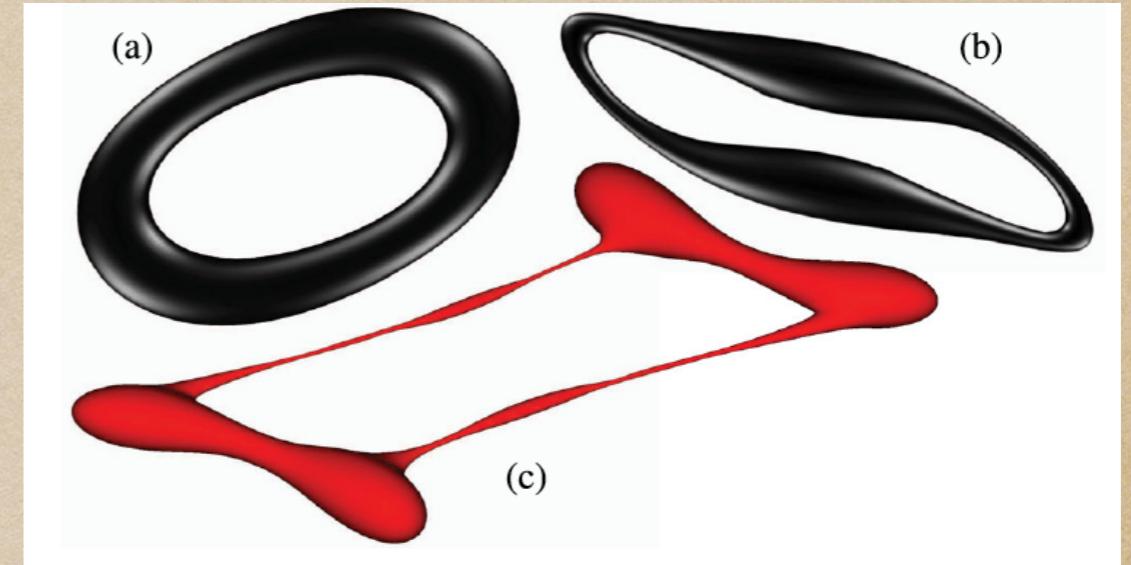
- Phase error  $\approx 0.1 \dots 0.2$
- Amplitude error  $\lesssim 3\%$
- Eccentricity  $\approx 0.002\dots 0.005$



# Cosmic censorship in D=5

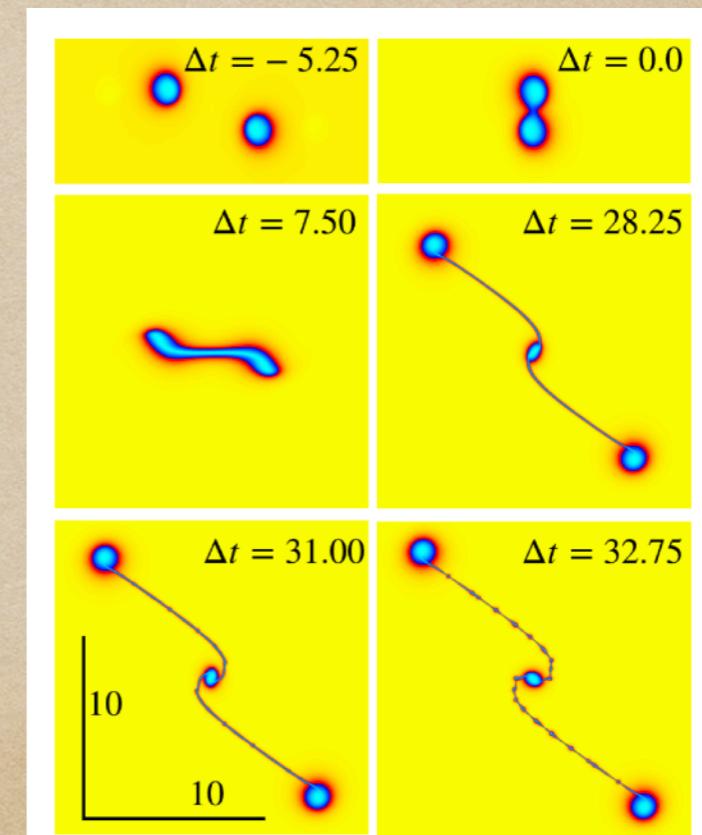
Figueras, Kunesch & Tunyasuvunakool PRL 1512.04532

- 5D simulations (mod.cartoon)
- Conformal Z4 system
- Black ring: assympt.flat!
- Gregory-Laflamme instability  
⇒ Violation of CC!



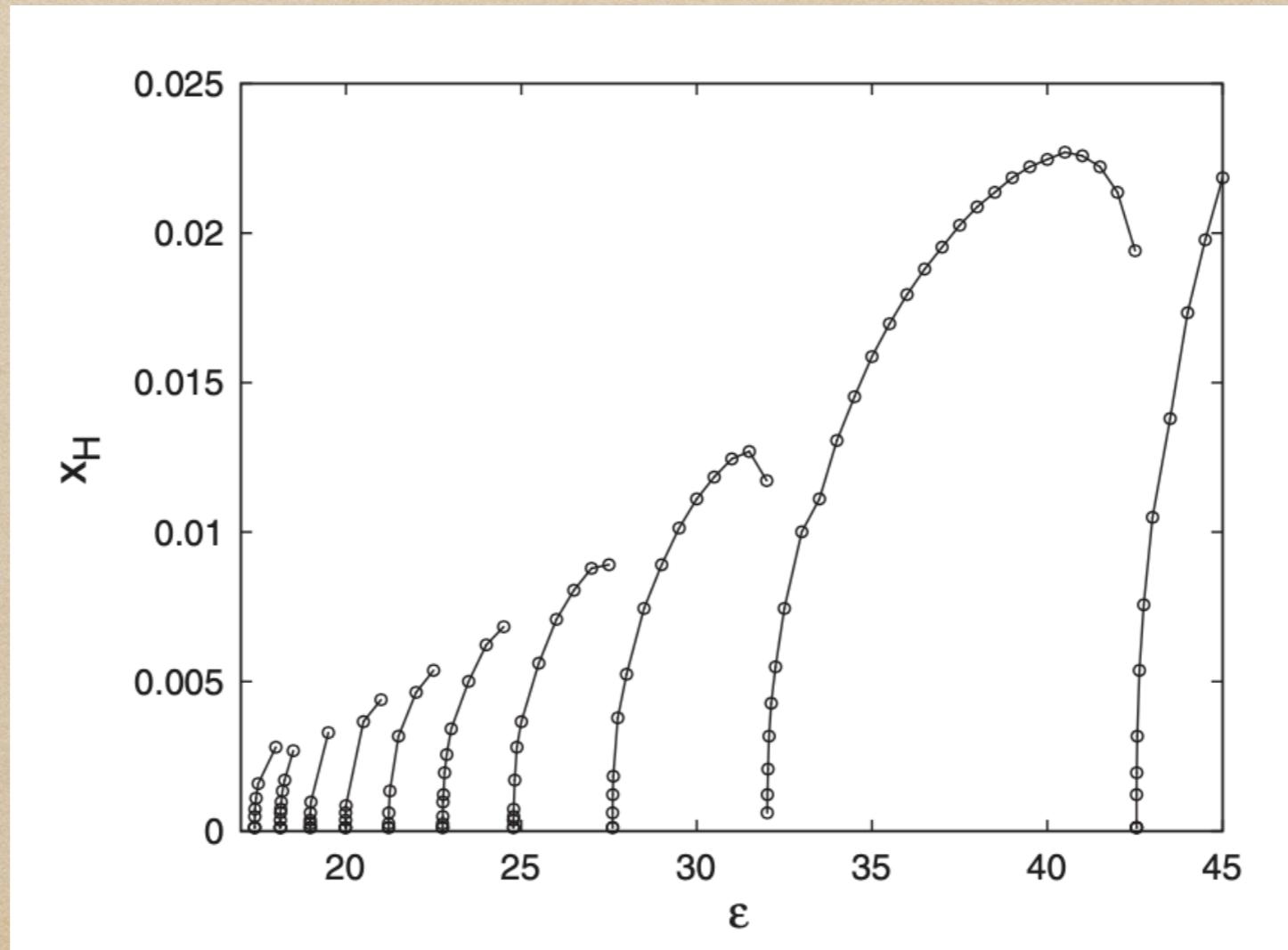
Andrade, Figueras & US JHEP 2022

- 7D BH collisions
- No finetuning!



# Critical collapse in AdS

- Einstein-massless-scalar field equations with  $\Lambda < 0$
- Rightmost branch = Choptuik PRL 1993
- AdS unstable due to energy shift from low to high frequencies



Bizon & Rostworowski PRL 2011

# The future...

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- Waveform catalogs for binaries containing BHs, NSs
  - Precessing HBs, high-mass ratios
  - NSNS, BHNS systems
- Waveform predictions for compact objects in modified gravity
- Model GW signatures of dark matter candidates
- Exotic compact objects
- NR in cosmology
- Applications in AdS/CFT
- Critical collapse in >1 dimensions
- Higher dimensional GR; is D=4 special?